



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
1st Constitution Bancorp	FCCY	Altaba Inc.	AABA
1st Source Corporation	SRCE*	Altisource Portfolio Solutions S.A.	ASPS
A. Schulman, Inc.	SHLM	AmTrust Financial Services, Inc.	AFSI*
ACNB Corporation	ACNB	AmTrust Financial Services, Inc. 6.75% Non-Cumulative Prefer	AFSI-A
AEGON NV	AEG	Ambac Financial Group, Inc.	AMBC
AFFIRMATIVE INS HLDGS INC COM	AFFMQ	American Assets Trust, Inc.	AAT
AG Mortgage Investment Trust, Inc.	MITT*	American Axle & Manufacturing Holdings, Inc. Common Stock	AXL
AGNC Investment Corp.	AGNC*	American Campus Communities, Inc.	ACC
AON plc	AON*	American Capital Senior Floating, Ltd.	ACSF
AT&T, Inc.	T	American Equity Investment Life Holding Co.	AEL*
Abbott Laboratories Common Stock	ABT	American Express Company	AXP*
Acadia Realty Trust	AKR	American Financial Group Inc.	AFG
Access National Corporation	ANCX*	American Homes 4 Rent	AMH*
AerCap Holdings N.V.	AER	American International Group, Inc.	AIG*
Aetna Inc	AET	American International Group, Inc. Warrants (expiring Januar	AIG+
Affiliated Managers Group, Inc.	AMG*	American National Bankshares, Inc.	AMNB*
Aflac Inc	AFL*	American National Insurance Co	ANAT
Agree Realty Corporation	ADC	American River Bankshares	AMRB
Air Lease Corporation	AL	American Tower Corporation Common Stock	AMT
Aircastle Limited	AYR	Ameriprise Financial, Inc.	AMP*
Akorn, Inc.	AKRX	Ameris Bancorp	ABCB*
Alcentra Capital Corporation	ABDC*	Amerisafe, Inc.	AMSF*
Alexander & Baldwin, Inc.	ALEX	Ameriserv Financial, Inc.	ASRV
Alexandria Real Estate Equities, Inc.	ARE	Analog Devices, Inc.	ADI
Alleghany Corporation	Y	Analogic Corporation	ALOG
Allegiance Bancshares, Inc.	ABTX*	Anchor Bancorp	ANCB*
Allergan plc Ordinary Shares	AGN	Andeavor Common Stock	ANDV
Alliance Data Systems Corp.	ADS*	Andeavor Logistics LP Common Units representing Limited Part	ANDX
AllianceBernstein Holding L.P. (US)	AB*	Annaly Capital Management, Inc.	NLY*
Ally Financial Inc.	ALLY*	Anthem	ANTM



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Anworth Mortgage Asset Corporation	ANH	Atlantic Coast Bank	ACFC
Apartment Investment & Management Co.	AIV	Atlanticus Holding Corporation	ATLC
Apollo Commercial Real Estate Finance, Inc.	ARI*	Atlas Financial Holdings, Inc.	AFH
Apollo Global Management, LLC	APO*	Auburn National Bancorporation	AUBN
Apollo Investment Corp.	AINV*	Avalon Bay Communities	AVB
Apple Hospitality REIT, Inc. Common shares, no par value per	APLE	Avista Corporation Common Stock	AVA
Arbor Realty Trust, Inc.	ABR*	Avista Healthcare Public Acquisition Corp.	AHPA
Arch Capital Group Ltd.	ACGL*	Avista Healthcare Public Acquisition Corp.	AHPAU
Archrock, Inc. Common Stock	AROC	Avista Healthcare Public Acquisition Corp.	AHPAW
Ares Capital Corporation	ARCC*	Axa Equitable Holdings, Inc.	EQH
Ares Commercial Real Estate Corporation	ACRE*	Axalta Coating Systems Ltd. Common shares, \$1.00 par value p	AXTA
Ares Dynamic Credit Allocation Fund, Inc. Common Shares	ARDC	Axis Capital Holdings Limited	AXS*
Ares Management, L.P.	ARES*	B. Riley Financial, Inc.	RILY
Argo Group International Holdings, Ltd.	ARGO*	BB&T Corporation	BBT*
Arlington Asset Investment	AI	BB&T Corporation Depository Shares each representing 1 / 1,000	BBT-G
Armada Hoffer	AHH	BCB Bancorp, Inc.	BCBP*
Armour Residential REIT, Inc.	ARR	BGC Partners, Inc.	BGCP
Arrow Financial Corporation	AROW	BHP Billiton Limited ADS	BHP
Arthur J. Gallagher & Co.	AJG*	BOK Financial Corporation	BOKF*
Artisan Partners Asset Management Inc.	APAM*	BP p.l.c. ADS	BP
Ashford Hospitality TR, Inc.	AHT	BSB Bancorp Inc	BLMT
Aspen Insurance Holdings Limited	AHL	Baldwin & Lyons, Inc.	BWINB
Associated Banc-Corp	ASB*	BanColombia SA ADS	CIB
Assurant, Inc	AIZ	Banc of California, Inc.	BANC*
Assured Guaranty Ltd.	AGO*	Banc of California, Inc. Depository Shares, each representin	BANC-C
Asta Funding, Inc.	ASFI	BancFirst Corporation	BANF*
Athene Holding Ltd.	ATH*	Banco Bilbao Vizcaya Argentaria	BBVA
Atlantic Acquisition Corp.	ATAC	Banco Bradesco S A NEW	BBD
Atlantic Acquisition Corp.	ATACU	Banco Latinoamericano de Comercio Exterior, S.A. Class E Com	BLX
Atlantic Capital Bancshares, Inc.	ACBI*	Banco Santander (Mexico), S.A. Institucion de Banca Multiple	BSMX



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Banco Santander ADS	SAN	Berkshire Hathaway Inc. Class B Common Stock	BRK.B
Banco Santander Brasil S A	BSBR	Berkshire Hills Bancorp, Inc	BHLB*
Banco Santander–Chile ADS	BSAC	Berry Global Group, Inc. Common Stock	BERY
Bancorp 34, Inc.	BCTF	Big Rock Partners Acquisition Corp	BRPAU
Bancorp of New Jersey Inc. Common Stock	BKJ	Big Rock Partners Acquisition Corp.	BRPA
BancorpSouth Bank	BXS*	Big Rock Partners Acquisition Corp.	BRPAW
Bank of America Corp.	BAC*	BioCryst Pharmaceuticals, Inc.	BCRX
Bank of America Corporation Warrants (expiring January 16, 2	BAC+A	BioTelemetry, Inc.	BEAT
Bank of America Corporation Warrants (expiring October 28, 2	BAC+B	Black Knight, Inc.	BKI*
Bank of Hawaii Corp.	BOH*	Black Ridge Acquisition Corp.	BRAC
Bank of Marin Bancorp	BMRC*	Black Ridge Acquisition Corp.	BRACU
Bank of Montreal	BMO	Black Ridge Acquisition Corp.	BRACW
Bank of N.T. Butterfield & Son Ltd.	NTB*	BlackBerry Limited Common Shares, without par value	BB
Bank of Nova Scotia	BNS	BlackRock Capital Investment Corporation	BKCC
Bank of South Carolina Corp.	BKSC	BlackRock Inc	BLK*
Bank of the James Financial Group, Inc.	BOTJ	Blackhawk Network Holdings, Inc.	HAWK
Bank of the Ozarks	OZRK*	Blackstone Mortgage Trust, Inc.	BXMT*
BankAtlantic Bancorp, Class A	BBX	Blue Capital Reinsurance Holdings, Ltd.	BCRH*
BankUnited, Inc.	BKU*	Blue Hills Bancorp, Inc.	BHBK*
Bankfinancial	BFIN	Bofl Holding, Inc.	BOFI*
Bankwell Financial Group, Inc.	BWFG*	Boston Private Financial Holdings, Inc.	BPFHP
Banner Corporation	BANR*	Boston Private Financial Holdings, Inc.	BPFH*
Bar Harbor Bankshares	BHB	Boston Properties	BXP
Barclays Capital, Inc	BCS	Braemar Hotels & Resorts Inc. Common Stock	BHR
Barnes & Noble, Inc. Common Stock	BKS	Brandywine Realty Trust	BDN
Barrick Gold Corporation Common Shares	ABX	Bridge Bancorp, Inc	BDGE*
Bay Commercial Bank	BCML	Bridgewater Bancshares, Inc.	BWB
Beazer Homes U S A Inc.	BZH	BrightSphere Investment Group plc	BSIG*
Belmond Ltd. Class A Common Shares	BEL	Brighthouse Financial, Inc.	BHF*
Beneficial Bancorp, Inc	BNCL*	Brixmor Property Group	BRX



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COMPANY	SYMBOL	COMPANY	SYMBOL
Broadcom Inc.	AVGO	CYS Investments Inc	CYS*
Broadridge Financial Solutions, Inc.	BR	Cadence Bancorporation	CADE*
Broadway Financial Corporation	BYFC	Caesars Entertainment Corporation	CZR
Brookdale Senior Living	BKD	Cambridge Bancorp	CATC
Brookfield Asset Management Inc.	BAM*	Camden National Corp	CAC*
Brookfield Property Partners L.P.	BPY	Camden Property Trust	CPT
Brookline Bancorp, Inc.	BRKL*	Canadian Imperial Bank of Commerce	CM
Brown & Brown, Inc.	BRO*	Canadian Pacific Railway Limited Common Shares	CP
Bryn Mawr Bank Corporation	BMTC*	Cannae Holdings, Inc.	CNNE*
Business First Bancshares, Inc.	BFST	CapStar Financial Holdings, Inc.	CSTR*
Byline Bancorp, Inc.	BY*	Capella Education Company	CPLA
C&F Financial Corporation	CFFI	Capital City Bank Group, Inc.	CCBG*
CAI International Inc.	CAI*	Capital One Financial Corp	COF*
CB Financial Services, Inc.	CBFV*	Capital One Financial Corporation Warrants (expiring Novembe	COF+
CBL & Associates Properties	CBL	Capital Southwest	CSWC
CBRE Group Inc.	CBRE*	Capitala Finance Corp.	CPTA*
CBS Corporation Class B Common Stock	CBS	Capitol Federal Financial, Inc.	CFFN*
CBTX, Inc.	CBTX*	Capitol Investment Corp. IV Class A ordinary shares, par val	CIC
CIGNA	CI	Capitol Investment Corp. IV Redeemable warrants, exerciseabl	CIC+
CIT Group Inc.	CIT*	Capitol Investment Corp. IV Units, each consisting of one CI	CIC.U
CM Finance Inc.	CMFN*	Capstead Mortgage Corp.	CMO*
CM Seven Star Acquisition Corporation	CMSS	Capstead Mortgage Corporation 7.50% Series E Cumulative Rede	CMO-E
CM Seven Star Acquisition Corporation	CMSSU	Cardtronics, Inc.	CATM
CM Seven Star Acquisition Corporation	CMSSW	Carolina Financial Corporation	CARO*
CME Group, Inc.	CME*	Carolina Trust Bank	CART
CNA Financial Corporation	CNA*	Carver Bancorp Inc	CARV
CNB Financial Corp.	CCNE*	Cathay General Bancorp	CATY*
CNO Financial Group, Inc.	CNO*	Cavium, Inc.	CAVM
CVB Financial Corp	CVBF*	Cboe Global Markets, Inc.	CBOE*
CVS Health Corporation Common Stock	CVS	Cedar Shopping Centers, Inc	CDR



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Cemex, S.A.B. de C.V. ADS	CX	Citizens Financial Group Inc.	CFG*
Cenovus Energy Inc. Common Shares	CVE	Citizens First Corp.	CZFC
CenterState Bank Corporation	CSFL*	Citizens Holding Company	CIZN
Central European Media Enterprises Ltd.	CETV	City Holding Company	CHCO*
Central Federal Corporation	CFBK	City Office REIT, Inc. Common Stock, \$0.01 par value per sha	CIO
Central Pacific Financial Corp.	CPF*	Civista Bancshares, Inc.	CIVBP
Central Valley Community Bancorp	CVCY*	Civista Bancshares, Inc.	CIVB*
Century Bancorp, Inc.	CNBKA	CoBiz Financial Inc.	COBZ*
Century Communities, Inc.	CCS	Coastway Bancorp, Inc.	CWAY
CenturyLink	CTL	Codorus Valley Bancorp, Inc.	CVLY
Charter Financial Corporation	CHFN*	Cohen & Steers Inc	CNS*
Chatham Lodging Trust	CLDT	Colgate-Palmolive Company Common Stock	CL
Chemical Financial Corp	CHFC*	Colony Bankcorp, Inc.	CBAN
Chemung Financial Corporation	CHMG	Colony NorthStar Credit Real Estate, Inc. Class A Common Sto	CLNC
Cherry Hill Mortgage Investment Corporation	CHMI	Colony NorthStar, Inc.	CLNS*
Chesapeake Lodging Trust	CHSP	Columbia Banking System, Inc.	COLB*
Chimera Investment Corp.	CIM*	Comcast Corporation	CMCSA
China Life Insurance ADS	LFC	Comerica Inc	CMA*
China Mobile Limited ADS	CHL	Comerica Incorporated Warrants (expiring November 14, 2018)	CMA+
Christopher & Banks Corporation Common Stock	CBK	Commerce Bancshares Inc	CBSH*
Chubb Limited	CB*	Commercial Bankshares Inc.	CLBK
Cincinnati Bell Inc. Common Shares	CBB	Community Bank System, Inc	CBU*
Cincinnati Financial Corp	CINF*	Community Bankers Trust Corporation	ESXB*
Cisco Systems, Inc.	CSCO	Community First Bancshares, Inc.	CFBI
Citigroup Inc.	C*	Community Healthcare Trust Incorporated Common Stock, \$0.01	CHCT
Citigroup Inc. Depositary Shs, a 1/1,000th Interest in a Sha	C-K	Community Trust Bancorp, Inc.	CTBI
Citigroup Inc. Depositary Shs, a 1/1000th Interest in a Shar	C-J	Community West Bancshares	CWBC
Citigroup Inc. Warrants (expiring January 4, 2019)	C+A	Compass Diversified Holdings	CODI
Citizens & Northern Corporation	CZNC	Concho Resources Inc. Common Stock	CXO
Citizens Community Bancorp	CZWI	Conn's, Inc.	CONN



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COMPANY	SYMBOL	COMPANY	SYMBOL
ConnectOne Bancorp Inc	CNOB*	Dell Technologies Inc. Class V Common Stock	DVMT
Connecticut Water Service, Inc.	CTWS	Deutsche Bank Securities	DB
Consolidated Communications Holdings, Inc.	CNSL	DiamondRock Hospitality Co.	DRH
Constellation Alpha Capital Corp.	CNAC	Digi International Inc.	DGII
Constellation Alpha Capital Corp.	CNACU	Digital Realty Trust	DLR
Constellation Alpha Capital Corp.	CNACW	Dime Community Bancshares, Inc.	DCOM*
Consumer Portfolio Services, Inc.	CPSS	Discover Financial Services	DFS*
CoreCivic, Inc. Common Stock	CXW	Discovery, Inc.	DISCA
CoreLogic, Inc.	CLGX*	Ditech Holding Corporation Common Stock, par value \$0.01 per	DHCP
CoreSite	COR	Dominion Resources Inc.	D
Corporate Capital Trust, Inc. Common Stock	CCT	Domino's Pizza, Inc. Common Stock	DPZ
Corporate Office Properties Trust	OFC	Donegal Group Inc.	DGICA*
County Bancorp, Inc.	ICBK	Double Eagle Acquisition Corp.	EAGLU
Cousins Properties Inc	CUZ	Douglas Emmett, Inc	DEI
Cowen Group, Inc.	COWN	Dr Pepper Snapple Group, Inc. Common Stock	DPS
Crawford & Company	CRD.B	Draper Oakwood Technology Acquisition, Inc.	DOTA
Credit Acceptance Corporation	CACC	Draper Oakwood Technology Acquisition, Inc.	DOTAU
Credit Suisse Group	CS	Draper Oakwood Technology Acquisition, Inc.	DOTAW
CubeSmart	CUBE	Drive Shack Inc. Common Stock	DS
Cullen/Frost Bankers Inc	CFR*	Duke Realty Corp.	DRE
Customers Bancorp, Inc.	CUBI*	Dynex Capital, Inc. 7.625% Series B Cumulative Redeemable Pr	DX-B
CyrusOne	CONE	E*TRADE Financial Corporation	ETFC*
D.R. Horton, Inc.	DHI*	EMC Insurance Group Inc.	EMCI*
DCT Industrial Trust	DCT	ENI S.p.A. ADS	E
DDR Corp.	DDR	EPR Properties	EPR
DFB Healthcare Acquisitions Corp.	DFBH	EQT Corporation	EQT
DFB Healthcare Acquisitions Corp.	DFBHU	EQT Midstream Partners, LP Common units representing limited	EQM
DFB Healthcare Acquisitions Corp.	DFBHW	ESSA Bancorp Inc	ESSA
DNB Financial Corp	DNBF	Eagle Bancorp Montana, Inc.	EBMT
Delek US Holdings, Inc. Common Stock	DK	Eagle Bancorp, Inc (MD)	EGBN*



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Eagle Financial Bancorp, Inc.	EFBI	Esquire Financial Holdings, Inc.	ESQ
Eagle Point Credit Company Inc.	ECC*	Essent Group Ltd.	ESNT*
East West Bancorp Inc	EWBC*	Essex Property Trust Inc	ESS
Easterly Government Properties, Inc. Common Stock	DEA	Euronet Worldwide, Inc.	EEFT
Eastgroup Properties	EGP	Evans Bancorp Inc New	EVBN
Eaton Corporation, plc Ordinary Shares, par value \$0.01 per	ETN	Evercore Partners Inc	EVR*
Eaton Vance Corp.	EV*	Everest Re Group, Ltd.	RE*
Ebix, Inc.	EBIX	Evertec, Inc.	EVTC
Education Realty Trust, Inc	EDR	Express Scripts Holding Company	ESRX
Eldorado Resorts, Inc.	ERI	Extended Stay America, Inc. (ESH)	STAY
Elevate Credit Inc.	ELVT	Extra Space Storage Inc.	EXR
Ellie Mae	ELLI	F.N.B. Corporation	FNB*
Ellington Financial LLC	EFC*	FB Financial	FBK*
Ellington Residential Mortgage REIT	EARN	FBL Financial Group, Inc.	FFG
Empire State Realty Trust, Inc. Class A Common Stock, \$0.01	ESRT	FCB Financial Holdings, Inc.	FCB*
Employers Holdings, Inc.	EIG	FFBW, Inc.	FFBW
EnCana Corporation Common Shares	ECA	FIAT CHRYSLER AUTOMOBILES N V SHS	FCAU
Encore Capital Group Inc	ECPG*	FLY Leasing Ltd	FLY
Ensco plc Class A Ordinary Share	ESV	FNB Bancorp	FNBG
Enstar Group Limited	ESGR	FS Bancorp	FSBW
Entegra Financial Corp.	ENFC	FS Investment Corporation	FSIC*
Enterprise Bancorp Inc.	EBTC	FSB Bancorp, Inc.	FSBC
Enterprise Financial Services Corp.	EFSC*	Factset Research Systems, Inc.	FDS
Equifax	EFX	Fair Isaac	FICO
Equitable Financial Corp	EQFN	Fanhua Inc.	FANH
Equity Bancshares, Inc.	EQBK*	Farmer Mac (Federal Agricultural Mtg Corp)	AGM*
Equity Commonwealth Common Shares of Beneficial Interest	EQC	Farmers & Merchants Bancorp, Inc.	FMAO
Equity LifeStyle Properties, Inc.	ELS	Farmers Capital Bank Corp	FFKT*
Equity Residential Properties Trust	EQR	Farmers National Banc Corp.	FMNB*
Erie Indemnity Company	ERIE	Farmland Partners	FPI



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Fauquier Bankshares, Inc.	FBSS	First Community Corporation	FCCO
Federal Realty Investment Trust	FRT	First Connecticut Bancorp Inc.	FBNK*
Federal Street Acquisition Corp.	FSAC	First Data Corp.	FDC*
Federal Street Acquisition Corp.	FSACU	First Defiance Financial Corp	FDEF*
Federal Street Acquisition Corp.	FSACW	First Financial Bancorp	FFBC*
Federated Investors, Inc	FII*	First Financial Bancorp.	FFBCW
Federated National Holding Company	FNHC*	First Financial Bankshares, Inc.	FFIN*
Fidelity D&D Bancorp Inc.	FDBC	First Financial Corp.	THFF*
Fidelity National Financial, Inc	FNF*	First Financial Northwest, Inc.	FFNW*
Fidelity National Information Services, Inc.	FIS	First Foundation Inc.	FFWM
Fidelity Southern Corporation	LION*	First Hawaiian, Inc.	FHB*
Fidus Investment Corporation	FDUS*	First Horizon National Corp	FHN*
Fifth Third Bancorp	FITB*	First Industrial Realty Trust Inc	FR
FinTech Acquisition Corp. II	FNTE	First Internet Bancorp	INBK*
FinTech Acquisition Corp. II	FNTEU	First Interstate BancSystem, Inc.	FIBK*
FinTech Acquisition Corp. II	FNTEW	First Merchants Corporation	FRME*
Financial Engines Inc	FNGN	First Mid-Illinois Bancshares Inc.	FMBH
Financial Institutions, Inc	FISI*	First Midwest Bancorp Inc	FMBI*
First American Financial Corp.	FAF*	First Northwest Bancorp	FNWB
First BanCorp	FBP*	First Republic Bank	FRC*
First BanCorp	FBNC*	First Savings Financial Group, Inc	FSFG
First Bancorp, Inc.	FNLC	First US Bancshares, Inc.	FUSB
First Bank	FRBA	First United Corporation	FUNC
First Busey Corporation	BUSE*	Firsthand Technology Value Fund, Inc.	SVVC
First Business Financial Services, Inc	FBIZ*	Fiserv Inc	FISV
First Capital, Inc.	FCAP	Five Oaks Investment Corp.	OAKS
First Cash Financial Services, Inc.	FCFS	Five Oaks Investment Corp. Series A Cumulative Redeemable Pr	OAKS-A
First Citizens BancShares, Inc.	FCNCA	Flagstar Bancorp, Inc.	FBC*
First Commonwealth Financial Corporation	FCF*	FleetCor Technologies, Inc.	FLT*
First Community Bancshares, Inc	FCBC	Flushing Financial Corporation	FFIC*



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Forest City Realty Trust, Inc. Class A Common Stock	FCE.A	Global Payments, Inc.	GPN*
Franklin Resources, Inc	BEN*	Goldcorp Inc. Common Shares	GG
Franklin Street Properties Corp	FSP	Goldman Sacks BDC	GSBD
Front Yard Residential Corporation	RESI*	Golub Capital BDC, Inc.	GBDC*
Fulton Financial Corp.	FULT*	Goosehead Insurance, Inc.	GSHD*
GAIN Capital Holdings, Inc.	GCAP*	Gordon Pointe Acquisition Corp.	GPAQ
GSV Capital Corp	GSVC	Gordon Pointe Acquisition Corp.	GPAQU
GTY Technology Holdings, Inc.	GTYH	Gordon Pointe Acquisition Corp.	GPAQW
GTY Technology Holdings, Inc.	GTYHU	Gores Holdings II, Inc.	GSHT
GTY Technology Holdings, Inc.	GTYHW	Gores Holdings II, Inc.	GSHTU
Gabelli Asset Management	GBL	Gores Holdings II, Inc.	GSHTW
Gaming and Leisure Properties, Inc.	GLPI	Government Properties Income Trust	GOV
Garrison Capital Inc.	GARS	Gramercy Property Trust Inc.	GPT
Gartner, Inc. Common Stock \$.0005 Par Value	IT	Granite Construction Incorporated Common Stock	GVA
Gener8 Maritime, Inc. Common Shares	GNRT	Granite Point Mortgage Trust Inc.	GPMT*
General Cable Corporation Common Stock	BGC	Great Ajax Corp. Common stock	AJX
General Electric Capital Corporation 4.70% Notes due May 16,	GEK!L	Great Elm Capital Corp	GECC
General Electric Co	GE	Great Plains Energy Incorporated Common Stock	GXP
General Growth Properties Inc	GGP	Great Southern Bancorp, Inc.	GSBC*
Genesis Healthcare, Inc. Class A Common Stock	GEN	Great Western Bancorp, Inc.	GWB*
Genworth	GNW*	Green Bancorp, Inc.	GNBC*
German American Bancorp, Inc.	GABC*	Green Dot Corporation	GDOT*
Getty Realty Corp.	GTY	Greenhill & Co., Inc.	GHL*
Glacier Bancorp, Inc.	GBCI*	Greenlight Capital RE, Ltd.	GLRE
Gladstone Capital Corporation	GLAD	Grifols, S.A.	GRFS
Gladstone Companies	GAIN	Guaranty Bancorp	GBNK*
GlaxoSmithKline plc ADS	GSK	Guaranty Bancshares, Inc.	GNTY
Glen Burnie Bancorp	GLBZ	Guaranty Federal Bancshares	GFED
Global Eagle Acquisition Corp.	EAGLU	H&R Block	HRB
Global Indemnity PLC	GBLI	HCI Group, Inc.	HCI*



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HCP, Inc.	HCP	Heritage Insurance Holdings, Inc.	HRTG*
HDFC Bank Ltd. ADS	HDB	Hersha Hospitality Trust	HT
HFF, Inc.	HF*	Highwoods Properties Inc.	HIW
HMN Financial Inc	HMNF	Hilltop Holdings Inc.	HTH*
HSBC Holdings PLC	HSBC	Hilton Worldwide Holdings Inc. Common Stock	HLT
HV Bancorp, Inc.	HVBC	Hingham Institution for Savings	HIFS
Hallmark Financial Services, Inc.	HALL	Home BancShares, Inc.	HOMB*
Hamilton Bancorp, Inc.	HBK	Home Bancorp, Inc	HBCP
Hamilton Lane Advisors LLC	HLNE*	Home Federal Bancorp, Inc. of Louisiana	HFBL
Hancock Holding Co	HBHC*	HomeStreet, Inc.	HMST*
Hanmi Financial Corp	HAFC*	HomeTown Bankshares Corporation	HMTA
Harbinger Group Inc.	HRG	HomeTrust Bancshares, Inc.	HTBI*
HarborOne Bancorp, Inc.	HONE	HopFed Bancorp, Inc.	HFBC
Harvest Capital Credit Corporation	HCAP*	Hope Bancorp, Inc.	HOPE*
Harvest Capital Credit Corporation	HCAPZ	Horace Mann Educators Corporation	HMN*
Hawaiian Telcom Holdco, Inc.	HCOM	Horizon Bancorp	HBNC*
Hawthorn Bancshares, Inc.	HWBK	Horizon Pharma, Inc.	HZNP
Haymaker Acquisition Corp.	HYAC	Horizon Technology Finance Corporation	HRZN*
Haymaker Acquisition Corp.	HYACU	Hospitality Properties Trust	HPT
Haymaker Acquisition Corp.	HYACW	Host Hotels & Resorts Corporation	HST
Healthcare Realty Trust Inc	HR	Houlihan Lokey, Inc.	HLI*
Heartland Financial USA, Inc.	HTLF*	Hovnanian Enterprises Inc.	HOV
Hecla Mining Company Common Stock	HL	Howard Bancorp Inc.	HBMD
Hennessy Capital Acquisition Corp. III Common Stock par valu	HCAC	Hudson Pacific Properties, Inc.	HPP
Hennessy Capital Acquisition Corp. III Units each consisting	HCAC.U	Humana Inc	HUM
Hennessy Capital Acquisition Corp. III Warrants each whole w	HCAC+	Hunter Maritime Acquisition Corp.	HUNT
Hercules Capital, Inc.	HTGC*	Hunter Maritime Acquisition Corp.	HUNTU
Hercules Capital, Inc. 6.25% Notes due 2024	HTGX	Hunter Maritime Acquisition Corp.	HUNTW
Heritage Commerce Corp	HTBK*	Huntington Bancshares Inc	HBAN*
Heritage Financial Corp	HFWA*	Huntsman Corporation Common Stock	HUN



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Hyatt Corporation	H	Investors Bancorp, Inc.	ISBC*
ICC Holdings, Inc.	ICCH	Investors Title Company	ITIC
IF Bancorp, Inc.	IROQ	Invitation Homes Inc.	INVH*
IHS Markit	INFO	Ishares Dow Jones U.S. Real Estate Etf	IYR
ILG, Inc	ILG	Ishares Ftse Nareit Mortgage Reits Etf	REM
ING Groep NV	ING	Itau CorpBanca ADS	ITCB
INTL FCStone Inc.	INTL	Iteris, Inc.	ITI
IQVIA Holdings Inc. Common Stock	IQV	J. Alexander's Holdings, Inc. Common Stock, par value \$0.001	JAX
Iberiabank Corporation	IBKC*	JMP Group Inc.	JMP*
Icici Bank	IBN	JPMorgan Chase & Co.	JPM*
Idera Pharmaceuticals, Inc.	IDRA	JPMorgan Chase & Co. Warrants (expiring October 28, 2018)	JPM+
Independent Bank Corp	INDB*	Jack Henry & Associates	JKHY
Independent Bank Corporation	IBCP*	Jacksonville Bancorp, Inc.	JXSB
Independent Bank Group, Inc.	IBTX*	James River Group Holdings, Ltd.	JRVR*
Industrea Acquisition Corp.	INDU	Janus Henderson Group Plc	JHG*
Industrea Acquisition Corp.	INDUU	Jensyn Acquistion Corp.	JSYN
Industrea Acquisition Corp.	INDUW	Jensyn Acquistion Corp.	JSYNU
Infinity Property and Casualty Corp.	IPCC*	Jensyn Acquistion Corp.	JSYNW
InfraREIT, Inc. Common Stock	HIFR	Jones Lang LaSalle Incorporated	JLL*
Innovative Industrial Properties, Inc. Common Stock	IIPR	KB Home	KBH*
Insight Enterprises, Inc.	NSIT	KCAP Financial, Inc.	KCAP*
Intel Corp	INTC	KCAP Financial, Inc.	KCAPL
InterXion Holding N.V. Ordinary shares, nominal value	INXN	KKR & Co. L.P.	KKR*
Interactive Brokers Group, Inc.	IBKR*	KKR Real Estate Finance Trust Inc.	KREF*
Intercontinental Exchange, Inc.	ICE*	KLA-Tencor Corporation	KLAC
International Bancshares Corp.	IBOC	KLX Inc.	KLXI
Invesco Ltd.	IVZ*	KapStone Paper and Packaging Corporation Common Stock	KS
Invesco Mortgage Capital, Inc	IVR*	Kayne Anderson Acquisition Corp.	KAAC
Investar Holding Corporation	ISTR	Kayne Anderson Acquisition Corp.	KAACU
Investment Technology Group, Inc.	ITG	Kayne Anderson Acquisition Corp.	KAACW



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COMPANY	SYMBOL	COMPANY	SYMBOL
Kearny Financial Corp.	KRNY*	Landmark Bancorp Inc.	LARK
Kemper Corporation	KMPR	Las Vegas Sands Corp. Common Stock	LVS
Kennedy Wilson	KW	Lattice Semiconductor Corporation	LSCC
Kentucky First Federal Bancorp	KFFB	Layne Christensen Company	LAYN
KeyCorp	KEY*	Lazard Ltd.	LAZ*
Kilroy Realty Corp	KRC	Legacy Acquisition Corp.	LGC.U
Kimco Realty	KIM	Legacy Acquisition Corp. Class A Common Stock, par value \$0.	LGC
Kindred Healthcare Inc.	KND	Legacy Acquisition Corp. Warrants, exercisable for one-half	LGC+
Kingsway Financial Services Inc.	KFS	LegacyTexas Financial Group, Inc.	LTXB*
Kinsale Capital Group, Inc.	KNSL	Legg Mason Inc	LM*
Kite Realty Group Trust	KRG	Leisure Acquisition Corp.	LACQ
Klondex Mines Ltd. Common Shares no par value	KLDX	Leisure Acquisition Corp.	LACQU
Knight-Swift Transportation Holdings Inc. Common Stock	KNX	Leisure Acquisition Corp.	LACQW
Kookmin Bank ADS	KB	LendingClub Corporation	LC*
LCNB Corp.	LCNB	LendingTree	TREE
LHC Group	LHCG	Lennar Corporation	LEN*
LPL Financial Holdings Inc.	LPLA*	Leucadia National Corporation Common Stock	LUK
LSI Corporation	LSI	Level One Bancorp, Inc.	LEVL*
LTC Properties	LTC	Lexington Realty Trust	LXP
La Quinta	LQ	Liberty Financial Companies	L
LaSalle Hotel Properties	LHO	Liberty Global plc	LBTYA
Ladder Capital Corp.	LADR*	Liberty Global plc	LBTYK
Ladenburg Thalmann Financial Services Inc. Common Stock	LTS	Liberty Property Trust	LPT
Lake Shore Bancorp, Inc. (MHC)	LSBK	Life Storage, Inc. Common Stock	LSI
Lakeland Bancorp, Inc	LBAI*	Lincoln National Corp	LNC*
Lakeland Financial Corporation	LKFN*	Littelfuse, Inc.	LFUS
Lam Research Corporation	LRCX	Live Oak Bancshares, Inc.	LOB
Landcadia Holdings, Inc.	LCA	LivePerson, Inc.	LPSN
Landcadia Holdings, Inc.	LCAHU	Lloyds TSB Group ADS	LYG
Landcadia Holdings, Inc.	LCAHW	Lumentum Holdings	LITE



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Luther Burbank Corporation	LBC*	Malvern Bancorp, Inc.	MLVF*
M D C Holdings Inc.	MDC	Manning & Napier, Inc.	MN
M I Acquisitions, Inc.	MACQ	Manulife Financial Corporation	MFC
M I Acquisitions, Inc.	MACQU	Marathon Petroleum Corporation Common Stock, par value \$0.01	MPC
M I Acquisitions, Inc.	MACQW	Markel Corp	MKL
M&T Bank Corporation	MTB*	MarketAxess Holdings, Inc.	MKTX*
M/I Homes, Inc.	MHO	Marlin Business Services Corp.	MRLN
MACOM Technology Solutions Holdings, Inc.	MTSI	Marriott International	MAR
MB Financial, Inc	MBFI*	Marriott Vacations Worldwide Corporation Common Stock par va	VAC
MBIA, Inc.	MBI*	Marsh & McLennan Companies, Inc.	MMC*
MBT Financial Corp.	MBTF	Marvell Technology Group Ltd	MRVL
MFA Financial, Inc.	MFA*	Mastercard Inc.	MA*
MGIC Investment Corp.	MTG*	Matlin & Partners Acquisition Corporation	MPAC
MGM Growth Properties LLC Class A common shares representing	MGP	Matlin & Partners Acquisition Corporation	MPACU
MOD-PAC CORP.	MPAC	Matlin & Partners Acquisition Corporation	MPACW
MODERN MEDIA AQ UNIT	MMDMU	Matson, Inc	MATX
MSB Financial Corp (MHC)	MSBF	McDermott International, Inc. Common Stock	MDR
MSCI Inc.	MSCI*	MedEquities Realty Trust, Inc. Common Stock	MRT
MTGE Investment Corp.	MTGE*	Medallion Financial Corp.	MFIN
MVB Financial Corp.	MVBF	Medical Properties Trust, Inc	MPW
MVC Capital	MVC	Medley Capital Corporation	MCC
Macatawa Bank Corporation	MCBC*	Medley Capital Corporation 6.125% Senior Notes due 2023	MCV
Macerich Company	MAC	Medley Capital Corporation 6.50% Notes due 2021	MCX
Mack-Cali Realty Corporation	CLI	Medley Management Inc.	MDLY
Mackinac Financial Corporation	MFNC	Medtronic plc Ordinary Shares	MDT
Magyar Bancorp, Inc.	MGYR	Melco Resorts & Entertainment Limited	MLCO
Maiden Holdings North America, Ltd. 7.75% Notes due 2043	MHNC	Melrose Bancorp, Inc.	MELR
Maiden Holdings, Ltd.	MHLD*	Mercantile Bank Corporation	MBWM*
Main Street Capital Corporation	MAIN	Merchants Bancorp	MBIN
Mallinckrodt public limited company Ordinary Shares, par val	MNK	Mercury General Corporation	MCY



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Meridian Bancorp, Inc.	EBSB*	Mosaic Acquisition Corp. Class A Ordinary Shares, par value	MOSC
Meridian Bank	MRBK*	Mosaic Acquisition Corp. Units, each consisting of one Class	MOSC.U
Meritage Homes Corporation	MTH*	Mosaic Acquisition Corp. Warrants, each exercisable for one	MOSC+
MetLife, Inc.	MET*	MutualFirst Financial, Inc.	MFSF*
Meta Financial Group, Inc.	CASH*	Mylan N.V.	MYL
Metropolitan Bank Holding Corp.	MCB*	NBT Bancorp Inc	NBTB*
Microchip Technology	MCHP	NCR Corporation Common Stock	NCR
Microsemi Corp.	MSCC	NI Holdings, Inc.	NODK
Mid Penn Bancorp	MPB	NMI Holdings, Inc.	NMIH*
Mid-America Apartment Communities, Inc.	MAA	NOBAO RENEWABLE ENRGY HLDG LTD SPONSORED ADR : NRE	NRE
MidSouth Bancorp, Inc	MSL*	NVR	NVR
MidWestOne Financial Group, Inc.	MOFG*	NXP Semiconductors N.V.	NXPI
Middlefield Banc Corp	MBCN*	Nasdaq, Inc.	NDAQ*
Midland States Bancorp, Inc.	MSBI*	National Bank Holdings Corporation	NBHC*
Midstates Petroleum Company, Inc. Common Stock, \$0.01 par va	MPO	National Bankshares, Inc.	NKSH
Mitel Networks Corporation	MITL	National Commerce Corporation	NCOM*
Mitsubishi UFJ Financial Group, Inc. ADS	MUFG	National Energy Services Reunited Corp.	NESR
Mizuho Financial Group ADS	MFG	National Energy Services Reunited Corp.	NESRW
Modern Media Acquisition Corp.	MMDM	National General Holdings Corp.	NGHC*
Modern Media Acquisition Corp.	MMDMU	National Health Investors, Inc.	NHI
Modern Media Acquisition Corp.	MMDMW	National Holdings Corporation	NHLD
Moelis & Company	MC*	National Retail Properties	NNN
Moneygram International, Inc.	MGI	National Western Life	NWLI
Monmouth Real Estate Investment Corp.	MNR	Nationstar Mortgage Holdings, Inc.	NSM*
Monroe Capital Corp.	MRCC	Navient Corp	NAVI*
Monsanto Company Common Stock	MON	Nebula Acquisition Corporation	NEBU
Moody's Corporation	MCO	Nebula Acquisition Corporation	NEBUU
Morgan Stanley	MS*	Nebula Acquisition Corporation	NEBUW
Morningstar, Inc.	MORN	Nelnet, Inc.	NNI
Morton's Restaurant Group, Inc. Common Stock	MRT	New Home Co. Inc.	NWHM



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
New Mountain Finance Corporation	NMFC*	OHA Investment Corporation	OHAI
New Residential Investment Corp.	NRZ*	ONEOK, Inc. Common Stock	OKE
New York Community Bancorp, Inc.	NYCB*	OP Bancorp	OPBK*
New York Mortgage Trust, Inc.	NYMT*	Oaktree Capital Group, LLC	OAK*
New York Mortgage Trust, Inc.	NYMTP	Oaktree Specialty Lending Corporation	OCSLL
New York REIT, Inc. Common Stock	NYRT	Oaktree Specialty Lending Corporation	OCSL*
Newmark Group, Inc.	NMRK*	Oaktree Strategic Income Corp	OCSI
Newmont Mining Corporation Common Stock	NEM	OceanFirst Financial Corp.	OCFC*
Newtek Business Services Corp.	NEWT*	Och-Ziff Capital Management Group LLC	OZM*
Newtek Business Services Corp.	NEWTI	Oclaro	OCLR
Nexstar Media Group, Inc.	NXST	Oconee Federal Financial Corp. (MHC)	OFED
Nicholas Financial Inc	NICK	Ocwen Financial Corporation	OCN*
Nicolet Bankshares, Inc.	NCBS*	Office Depot, Inc.	ODP
Nomura Holdings ADS	NMR	Ohio Valley Banc Corp.	OVBC
Norfolk Southern Corporation Common Stock	NSC	Old Line Bancshares, Inc.	OLBK*
NorthStar Realty Europe Corp. Common Stock	NRE	Old National Bancorp	ONB*
Northeast Bancorp	NBN	Old Point Financial Corporation	OPOF
Northern Trust Corp	NTRS*	Old Republic International Corporation	ORI
Northfield Bancorp, Inc.	NFBK*	Old Second Bancorp, Inc.	OSBC*
Northrim BanCorp, Inc.	NRIM	Omega Healthcare Investors	OHI
Northwest Bancshares, Inc.	NWBI*	On Deck Capital, Inc.	ONDK*
Norwood Financial Corp.	NWFL	One Liberty Properties Inc.	OLP
Novartis AG ADS	NVS	One Madison Corporation Class A Ordinary Shares	OMAD
Novelion Therapeutics Inc.	NVLN	One Madison Corporation Units, each consisting of one Class	OMAD.U
NuStar Energy L.P. Common Units, representing limited partne	NS	One Madison Corporation Warrants, each whole warrant is exer	OMAD+
NuStar GP Holdings, LLC Units Representing Limited Liability	NSH	OneMain Holdings, Inc.	OMF*
NxStage Medical, Inc.	NXTM	Oppenheimer Holding Inc.	OPY
OFG Bancorp	OFG*	Opus Bank	OPB*
OFG Bancorp 7.125% Noncumulative Monthly Income Preferred St	OFG-A	Orbital ATK, Inc. Common Stock	OA
OFS Capital Corporation	OFS	Orbotech Ltd.	ORBK



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Origin Bancorp, Inc.	OBNK	Parke Bancorp, Inc.	PKBK
Oritani Financial Corp	ORIT*	Pathfinder Bancorp, Inc. (MHC)	PBHC
Orrstown Financial Services, Inc.	ORRF*	Patriot National Bank	PNBK
Osprey Energy Acquisition Corp.	OSPR	PayPal Holdings, Inc.	PYPL*
Osprey Energy Acquisition Corp.	OSPRU	Peapack-Gladstone Financial Corporation	PGC*
Osprey Energy Acquisition Corp.	OSPRW	Pebblebrook Hotel Trust	PEB
Ottawa Bancorp, Inc.	OTTW	Penn National Gaming, Inc.	PENN
Owens Realty Mortgage Inc. Common stock	ORM	PennantPark Floating Rate Capital Ltd.	PFLT*
Oxford Lane Capital Corp.	OXLC	PennantPark Investment Corp	PNNT*
Oxford Square Capital Corp.	OXSQ	Penns Woods Bancorp, Inc.	PWOD
PCSB Financial Corporation	PCSB	Pennsylvania Real Estate Investment Trust	PEI
PDL Community Bancorp	PDLB	PennyMac Financial Services	PFSI*
PHH Corporation	PHH*	PennyMac Mortgage Investment Trust	PMT*
PICO Holdings, Inc.	PICO	Pensare Acquisition Corp.	WRLS
PJT Partners Inc. Class A Common stock	PJT	Pensare Acquisition Corp.	WRLSU
POWERSHARES KBW Bank Portfolio (ETF)	KBWB	Pensare Acquisition Corp.	WRLSW
POWERSHARES KBW High Dividend Yield Financial Portfolio (ETF)	KBWD	People's United Financial, Inc	PBCT*
POWERSHARES KBW Premium Yield Equity REIT Portfolio (ETF)	KBWY	People's Utah Bancorp	PUB
POWERSHARES KBW Property & Casualty Insurance Portfolio (ETF)	KBWP	Peoples Bancorp Inc.	PEBO*
POWERSHARES KBW Regional Banking Portfolio (ETF)	KBWR	Peoples Bancorp of N.C. Inc.	PEBK
PPDAI Group Inc	PPDF*	Perry Ellis International Inc.	PERY
PRA Group, Inc.	PRAA*	Petroleo Brasileiro S.A.-Petrobras ADS	PBR
PS Business Parks Inc.	PSB	Physicians Realty Trust	DOC
PacWest Bancorp	PACW*	Piedmont Office Realty Trust, Inc	PDM
Pacific Mercantile Bancorp	PMBC*	Pinnacle Entertainment, Inc.	PNK
Pacific Premier Bancorp Inc	PPBI*	Pinnacle Financial Partners, Inc.	PNFP*
Pan American Silver Corp.	PAAS	Piper Jaffray Companies	PJC*
Paramount Group, Inc. Common Stock	PGRE	Platinum Eagle Acquisition Corp.	EAGL
Park Hotels & Resorts Inc. Common stock	PK	Platinum Eagle Acquisition Corp.	EAGLU
Park National Corporation	PRK*	Platinum Eagle Acquisition Corp.	EAGLW



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Plumas Bank	PLBC	Qurate Retail, Inc.	QRTEA
Poage Bankshares, Inc.	PBSK	RBB Bancorp	RBB*
Popular, Inc.	BPOP*	RE/MAX Holdings, Inc.	RMAX*
Popular, Inc.	BPOPM	RELX PLC ADS	RELX
Porter Bancorp, Inc.	PBIB	RLI Corp	RLI*
Potlatch Corp	PCH	RLJ Lodging Trust	RLJ
Power REIT 7.75% Series A Cumulative Redeemable Perpetual Pr	PW-A	RPX Corporation	RPXC
Preferred Bank	PFBC	RSP Permian, Inc. Common Stock, \$0.01 per share	RSPF
Premier Financial Bancorp, Inc.	PFBI	Radian Group Inc.	RDN*
Primerica, Inc.	PRI*	Radius Health, Inc.	RDUS
Principal Financial Group, Inc.	PFG*	Ramco-Gershenson Properties Trust	RPT
ProAssurance Corporation	PRA*	Randolph Bancorp, Inc.	RNDB
ProLogis	PLD	Raymond James Financial Inc.	RJF*
Progressive Corporation	PGR*	Rayonier Advanced Materials Inc. Common Stock, par value \$0.	RYAM
Proshares UltraShort Real Estate	SRS	Rayonier Inc.	RYN
Prospect Capital Corporation	PSEC	Realogy Holdings Corp	RLGY*
Prosperity Bancshares, Inc.	PB*	Realty Income Corp.	O
Provident Financial Holdings Inc	PROV	Red Lion Hotels Inc	RLH
Provident Financial Services, Inc.	PFS*	Redding Bank of Commerce	BOCH
Prudential Bancorp, Inc. of Pennsylvania (MHC)	PBIP	Redfin	RDFN
Prudential Financial, Inc.	PRU*	Redwood Trust, Inc.	RWT*
Prudential PLC ADS	PUK	Regalwood Global Energy Ltd. Class A ordinary Shares	RWGE
Public Storage (MD)	PSA	Regalwood Global Energy Ltd. Redeemable warrants included as	RWGE+
Pulte Group, Inc	PHM	Regalwood Global Energy Ltd. Units, each consisting of one C	RWGE.U
Putnam Savings Bank	PBBI	Regency Centers Corporation	REG
Pzena Investment Management	PZN	Regional Management Corp.	RM*
QCR Holdings, Inc.	QCRH*	Regions Financial Corporation	RF*
QIAGEN N.V. Common Shares	QGEN	Reinsurance Group of America, Inc.	RGA*
QUALCOMM Incorporated	QCOM	Reliant Bancorp Inc.	RBNC*
Quality Care Properties, Inc.	QCP	RenaissanceRe Holdings Ltd.	RNR*



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
RenaissanceRe Holdings Ltd. 5.375% Series E Preference Share	RNR-E	SPDR S&P Bank ETF	KBE
Renasant Corporation	RNST*	SPDR S&P Capital Markets ETF	KCE
Republic Bancorp, Inc.	RBCAA	SPDR S&P Insurance ETF	KIE
Republic First Bancorp, Inc.	FRBK*	SPDR S&P Regional Banking ETF	KRE
Resource Capital Corp.	RSO	STAG Industrial	STAG
Retail Opportunity Investment Corp.	ROIC	SVB Financial Group	SIVB*
Retail Properties of America, Inc. Class A Common Stock	RPAI	Sabra Health Care REIT	SBRA
Rexford Industrial	REXR	Safeguard Scientifics, Inc	SFE*
Rio Tinto plc American Depositary Receipts (Each representin	RIO	Safety Insurance Group, Inc.	SAFT
Rite Aid Corporation Common Stock	RAD	Salesforce.com	CRM
Riverview Bancorp, Inc.	RVSB	Salisbury Bancorp, Inc.	SAL
Rockwell Collins, Inc. Common Stock	COL	SandRidge Energy, Inc	SD
Royal Bank of Canada	RY	Sandy Spring Bancorp, Inc.	SASR*
Royal Bank of Scotland Group PLC	RBS	Santander Consumer USA Holdings Inc.	SC*
Ryman Hospitality Properties, Inc. Common Stock	RHP	Saul Centers	BFS
S&P Global, Inc.	SPGI	Scana Corporation Common Stock	SCG
S&T Bancorp Inc	STBA*	SeaWorld Entertainment, Inc. Common Stock, \$0.01 par value	SEAS
SAP SE ADS	SAP	Seacoast Banking Corp of Florida	SBCF
SB Financial Group, Inc.	SBFG	Sears Holdings Corporation	SHLD
SB Financial Group, Inc.	SBFGP	Select Bancorp, Inc.	SLCT
SEI Investments Company	SEIC*	Select Income REIT	SIR
SI Financial Group, Inc.	SIFI	Selective Insurance Group, Inc	SIGI*
SILVER EAGLE ACQSTN	EAGL	SemGroup Corporation Class A Common stock, par value \$0.01 p	SEMG
SILVER EAGLE ACQSTN UNIT	EAGLU	Senior Housing Properties Trust	SNH
SILVER EAGLE ACQSTN WTS	EAGLW	Sentinel Energy Services Inc.	STNL
SJW Group Common Stock	SJW	Sentinel Energy Services Inc.	STNLU
SL Green Realty Corporation	SLG	Sentinel Energy Services Inc.	STNLW
SLM Corporation	SLM*	ServisFirst Bancshares, Inc.	SFBS
SPDR Financial Sector ETF	XLF	Severn Bancorp, Inc.	SVBI
SPDR Homebuilders Sector ETF	XHB	Shinhan Financial ADS	SHG



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Shire plc	SHPG	State Bank Financial Corporation	STBZ*
Shore Bancshares, Inc.	SHBI	State Street Corporation	STT*
Siebert Financial Corp.	SIEB	Stellus Capital Investment Corporation	SCM*
Sierra Bancorp	BSRR*	Sterling Bancorp	STL*
Signature Bank	SBNY*	Sterling Bancorp, Inc.	SBT
Simmons First National Corporation	SFNC*	Stewardship Financial Corporation	SSFN
Simon Property Group	SPG	Stewart Information Services Corporation	STC*
Sinclair Broadcast Group, Inc.	SBGI	Stock Yards Bancorp, Inc.	SYBT*
Social Capital Hedosophia Holdings Corp. Class A ordinary sh	IPOA	StoneCastle Financial Corp.	BANX*
Social Capital Hedosophia Holdings Corp. Units, each consist	IPOA.U	Store Capital Corp.	STOR
Social Capital Hedosophia Holdings Corp. Warrants, each whol	IPOA+	Strayer Education, Inc.	STRA
Solar Capital Ltd.	SLRC*	Sumitomo Mitsui Financial Group	SMFG
Solar Senior Capital Ltd	SUNS	Summit Financial Group, Inc.	SMMF
Sony Corporation ADS	SNE	Summit Hotel Properties, Inc.	INN
Sound Financial Bancorp, Inc.	SFBC	Summit State Bank	SSBI
South State Corporation	SSB*	Sun Communities	SUI
Southern First Bancshares, Inc.	SFST	Sun Life Financial Services	SLF
Southern Missouri Bancorp, Inc.	SMBC*	SunCoke Energy Partners, L.P. Common units representing limi	SXCP
Southern National Bancorp of Virginia, Inc.	SONA	SunCoke Energy, Inc. Common Stock	SXC
Southside Bancshares, Inc.	SBSI*	SunTrust Banks Inc	STI*
Southwest GA Financial Corp	SGB	SunTrust Banks, Inc. Warrants (expiring November 14, 2018)	STI+B
Sparton Corporation Common Stock	SPA	Sunstone Hotel Investors	SHO
Spectrum Brands Holdings, Inc. Common Stock	SPB	Sussex Bancorp	SBBX*
Spirit Realty Capital	SRC	Sutherland Asset Management Corporation	SLD*
Spirit of Texas Bancshares, Inc.	STXB	Synchrony Financial	SYF*
Sprint Corporation Common Stock	S	Synovus Financial Corp	SNV*
Square, Inc.	SQ*	Synovus Financial Corp. 7.875% Series C Fixed-to-Floating Ra	SNV-C
St. Joe Company	JOE	T-Mobile US, Inc.	TMUS
Starwood Property Trust, Inc.	STWD*	T. Rowe Price Group Inc	TROW*
State Auto Financial Corp.	STFC*	TCF Financial Corporation	TCF*



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
TCG BDC, Inc.	CGBD*	The Blackstone Group L.P.	BX*
TCP Capital Corp.	TCPC*	The Carlyle Group L.P.	CG*
TD Ameritrade Holding Corp.	AMTD*	The Charles Schwab Corporation	SCHW*
TFS Financial Corporation	TFSL*	The Community Financial Corporation	TCFC*
THL Credit, Inc.	TCRD*	The Finish Line, Inc.	FINL
THL Credit, Inc. 6.75% Notes due 2022	TCRZ	The First Bancshares, Inc.	FBMS*
TPG Pace Energy Holdings Corp. Class A Common Stock	TPGE	The First of Long Island Corporation	FLIC*
TPG Pace Energy Holdings Corp. Units, each consisting of one	TPGE.U	The GEO Group, Inc. Common Stock, par value \$0.01 per share	GEO
TPG Pace Energy Holdings Corp. Warrants, each exercisable fo	TPGE+	The Goldman Sachs Group, Inc.	GS*
TPG Pace Holdings Corp. Class A Ordinary Shares	TPGH	The Hanover Insurance Group, Inc.	THG*
TPG Pace Holdings Corp. Units, each consisting of one Class	TPGH.U	The Hanover Insurance Group, Inc. 6.35% Subordinated Debentu	THGA
TPG Pace Holdings Corp. Warrants, each exercisable for one C	TPGH+	The Hartford Financial Services Group, Inc.	HIG*
TPG Specialty Lending, Inc.	TSLX	The Hartford Financial Services Group, Inc. Warrant Expiring	HIG+
TRI Pointe Group, Inc.	TPH	The Howard Hughes Corporation Common Stock	HHC
Taiwan Semiconductor Manufacturing Company Ltd. ADS	TSM	The Kroger Co. Common Stock	KR
Tanger Factory Outlet Centers Inc.	SKT	The Navigators Group, Inc.	NAVG*
Target Corp.	TGT	The PNC Financial Services Group, Inc.	PNC*
Taubman Centers	TCO	The PNC Financial Services Group, Inc. Warrant (Expiring Dec	PNC+
Taylor Morrison, Inc.	TMHC	The Provident Bank	PVBC
Telular Corporation	WRLS	The Travelers Companies, Inc.	TRV*
Terex Corporation Common Stock	TEX	The Walt Disney Company Common Stock	DIS
Terreno Realty Corp	TRNO	The Williams Companies, Inc. Common Stock	WMB
Territorial Bancorp Inc	TBNK*	Third Point Reinsurance Ltd	TPRE*
Tesla, Inc.	TSLA	TiVo Corporation	TIVO
Texas Capital Bancshares, Inc.	TCBI*	Tiberius Acquisition Corporation	TIBR
Textainer Group Holdings Limited	TGH*	Tiberius Acquisition Corporation	TIBRU
The Allstate Corporation	ALL*	Tiberius Acquisition Corporation	TIBRW
The Bancorp Bank	TBBK	Tier REIT	TIER
The Bank of New York Mellon Corporation	BK*	Timberland Bancorp, Inc.	TSBK
The Bank of Princeton	BPRN	Time Warner Inc. Common Stock	TWX



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Tiptree Inc.	TIPT	US Itau Unibanco Holding SA	ITUB
Toll Brothers, Inc.	TOL*	USF&G Corporation	FG
Tompkins Financial Corporation	TMP*	USG Corporation Common Stock	USG
Torchmark Corp	TMK*	Umpqua Holdings Corporation	UMPQ*
Toronto Dominion Bank	TD	Union Acquisition Corp. Ordinary shares	LTN
Total S.A. ADS	TOT	Union Acquisition Corp. Redeemable warrants, exercisable for	LTN+
Total System Services, Inc.	TSS*	Union Acquisition Corp. Units, each consisting of one ordina	LTN.U
TowneBank	TOWN*	Union Bankshares Corporation	UBSH*
TransUnion Corporation	TRU	Union Bankshares, Inc.	UNB
Transocean Ltd. Registered Shares	RIG	United Bancshares, Inc.	UBOH
TriCo Bancshares	TCBK*	United Bankshares Inc	UBSI*
TriState Capital Holdings, Inc.	TSC*	United Community Bancorp	UCBA
Triangle Capital Corporation	TCAP*	United Community Banks, Inc.	UCBI*
Triangle Capital Corporation 6.375% Senior Notes due 2022	TCCA	United Community Financial Corp.	UCFC*
Tribune Media Company Class A Common Stock	TRCO	United Financial Bancorp, Inc.	UBNK*
TriplePoint Venture Growth BDC Corp.	TPVG*	United Fire Group Inc	UFCS
TriplePoint Venture Growth BDC Corp. 5.75% Notes due 2022	TPVY	United Insurance Holdings Corp.	UIHC*
Triton International LTD.	TRTN*	United Security Bancshares	UBFO
Triumph Bancorp, Inc.	TBK*	UnitedHealth Group Incorporated Common Stock	UNH
Trustco Bank Corp NY	TRST	Unity Bank	UNTY
Trustmark Corporation	TRMK*	Universal Health Realty Income Trust	UHT
Twenty-First Century Fox, Inc.	FOX	Universal Insurance Holdings, Inc.	UVE*
Twenty-First Century Fox, Inc.	FOXA	Univest Corporation of Pennsylvania	UVSP*
Two Harbors Investment Corp.	TWO*	Unum Group	UNM*
Two Rivers Bancorp	TRCB	Urban Edge Properties Common Shares	UE
U.S. Bancorp	USB*	Urstadt Biddle Properties	UBA
U.S. Bancorp Depository Shares each representing a 1/1,000th	USB-O	Urstadt Biddle Properties Inc.	UBP
UBS AG	UBS	VEREIT, Inc.	VER
UDR, Inc.	UDR	VICI Properties	VICI
UMB Financial Corp	UMBF*	VMware	VMW



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
VOYA Financial, Inc.	VOYA*	WP Glimcher, Inc.	WPG
Vail Resorts, Inc.	MTN	WPP plc ADS	WPP
Vale S.A. ADS	VALE	WSFS Financial Corp	WSFS*
Valeant Pharmaceuticals International, Inc. Common Stock	VRX	WVS Financial Corporation	WVFC
Validus Holdings Ltd.	VR*	Waddell & Reed Financial, Inc.	WDR*
Valley National Bancorp	VLY*	Walker & Dunlop, Inc.	WD*
Vanguard REIT ETF	VNQ	Washington Federal, Inc.	WAFDW
Vantage Energy Acquisition Corp.	VEAC	Washington Federal, Inc.	WAFD*
Vantage Energy Acquisition Corp.	VEACU	Washington Real Estate Investment Trust	WRE
Vantage Energy Acquisition Corp.	VEACW	Washington Trust Bancorp, Inc.	WASH*
Vectren Corporation Common Shares	VVC	Waterstone Financial, Inc.	WSBF
Veeco Instruments Inc.	VECO	Wausau Paper Corp. Common Stock	WPP
Ventas Inc	VTR	Webster City Federal Bancorp (MHC)	WCFB
VeriFone Systems, Inc.	PAY	Webster Financial Corp	WBS*
Verisk Analytics, Inc.	VRSK*	Weingarten Realty Investors	WRI
Veritex Holdings, Inc.	VBTX*	Wellesley Bancorp, Inc.	WEBK
Verizon Communications Inc. Common Stock	VZ	Wells Fargo & Company	WFC*
Viacom Inc.	VIAB	Wells Fargo & Company Warrants (Expiring October 28, 2018)	WFC+
Victory Capital Holdings, Inc.	VCTR*	Welltower Inc.	WELL
Village Bank and Trust Financial Corp.	VBFC	WesBanco Inc	WSBC*
Virtu Financial, Inc	VIRT	West Bancorporation, Inc.	WTBA
Virtus Investment Partners	VRTS	WestRock Company Common Stock	WRK
Visa, Inc.	V*	Westamerica Bancorp	WABC*
Vistra Energy Corp. Common Stock	VST	Westar Energy, Inc. Common Stock	WR
Vodafone Group PLC	VOD	Western Alliance Bancorporation	WAL*
Vornado Realty Trust	VNO	Western Asset Mortgage Capital Corporation	WMC
W.R. Berkley Corp	WRB*	Western Digital Corporation	WDC
WEX, Inc.	WEX*	Western New England Bancorp Inc.	WNEB
WMIH Corp.	WMIH	Western Union	WU
WP Carey & Co. LLC	WPC	Westlake Chemical Corporation Common Stock	WLK



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Westwood Holdings Group	WHG	iStar Inc.	STAR*
Weyerhaeuser Company	WY	tronc, Inc.	TRNC
Whitehorse Finance, Inc.	WHF		
Whitestone REIT Common Shares	WSR		
William Lyon Homes	WLH		
Williams Partners L.P. Common Units Representing Limited Par	WPZ		
Willis Lease Finance Corporation	WLFC		
Willis Towers Watson Public Limited Company	WLTW*		
Windstream Corporation	WIN		
Wintrust Financial Corporation	WTFC*		
WisdomTree Investments, Inc.	WETF*		
Woodward, Inc.	WWD		
Woori Finance Holdings Co., Ltd.	WF		
World Acceptance	WRLD		
Worldpay, Inc.	WP*		
Wright Medical Group N.V.	WMGI		
Wyndham Worldwide Corporation	WYN		
XL Group Ltd.	XL*		
Xcerra Corporation	XCRA		
Xenia Hotels & Resorts, Inc. Common Stock	XHR		
Xerox Corporation Common Stock	XRX		
Zillow Group, Inc.	Z		
Zions Bancorporation	ZION*		
Zions Bancorporation	ZIONW		
Zions Bancorporation	ZIONZ		
Zions Bancorporation Depository Shares, Each Representing 1 /	ZB-H		
Zions Bancorporation Depository Shs (1 / 40th Interest in a Sh	ZB-A		
eBay Inc.	EBAY		
eHealth, Inc.	EHTH		
iShares Cohen & Steers Realty Majors	ICF		