



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
1st Constitution Bancorp	FCCY	AmTrust Financial Services, Inc.	AFSI*
1st Source Corporation	SRCE*	AmTrust Financial Services, Inc. 6.75% Non-Cumulative Prefer	AFSI-A
A. Schulman, Inc.	SHLM	Ambac Financial Group, Inc.	AMBC
ACNB Corporation	ACNB	American Assets Trust, Inc.	AAT
AEGON NV	AEG	American Axle & Manufacturing Holdings, Inc. Common Stock	AXL
AG Mortgage Investment Trust, Inc.	MITT*	American Campus Communities, Inc.	ACC
AGNC Investment Corp.	AGNC*	American Capital Senior Floating, Ltd.	ACSF
AON plc	AON*	American Equity Investment Life Holding Co.	AEL*
AT&T, Inc.	T	American Express Company	AXP*
Abbott Laboratories Common Stock	ABT	American Financial Group Inc.	AFG
Acadia Realty Trust	AKR	American Homes 4 Rent	AMH*
Access National Corporation	ANCX*	American International Group, Inc.	AIG*
AerCap Holdings N.V.	AER	American International Group, Inc. Warrants (expiring Januar	AIG+
Aetna Inc	AET	American National Bankshares, Inc.	AMNB*
Affiliated Managers Group, Inc.	AMG*	American National Insurance Co	ANAT
Aflac Inc	AFL*	American River Bankshares	AMRB
Agree Realty Corporation	ADC	American Tower Corporation Common Stock	AMT
Air Lease Corporation	AL	Ameriprise Financial, Inc.	AMP*
Aircastle Limited	AYR	Ameris Bancorp	ABCB*
Alcentra Capital Corporation	ABDC*	Amerisafe, Inc.	AMSF
Alexander & Baldwin, Inc.	ALEX	Ameriserv Financial, Inc.	ASRV
Alexandria Real Estate Equities, Inc.	ARE	Analog Devices, Inc.	ADI
Alleghany Corporation	Y	Anchor Bancorp	ANCB*
Allegiance Bancshares, Inc.	ABTX*	Andeavor Common Stock	ANDV
Allergan plc Ordinary Shares	AGN	Andeavor Logistics LP Common Units representing Limited Part	ANDX
Alliance Data Systems Corp.	ADS*	Annaly Capital Management, Inc.	NLY*
AllianceBernstein Holding L.P.	AB*	Anthem	ANTM
Ally Financial Inc.	ALLY*	Anworth Mortgage Asset Corporation	ANH
Altaba Inc.	AABA	Apartment Investment & Management Co.	AIV
Altisource Portfolio Solutions S.A.	ASPS	Apollo Commercial Real Estate Finance, Inc.	ARI*



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Apollo Global Management, LLC	APO*	Avalon Bay Communities	AVB
Apollo Investment Corp.	AINV*	Avista Corporation Common Stock	AVA
Arbor Realty Trust, Inc.	ABR*	Axalta Coating Systems Ltd. Common shares, \$1.00 par value p	AXTA
Arch Capital Group Ltd.	ACGL*	Axis Capital Holdings Limited	AXS*
Archrock Partners, L.P.	APLP	B. Riley Financial, Inc.	RILY
Archrock, Inc. Common Stock	AROC	BB&T Corporation	BBT*
Ares Capital Corporation	ARCC*	BB&T Corporation Depository Shares each representing 1/1,000	BBT-G
Ares Commercial Real Estate Corporation	ACRE*	BCB Bancorp, Inc.	BCBP*
Ares Dynamic Credit Allocation Fund, Inc. Common Shares	ARDC	BGC Partners, Inc.	BGCP
Ares Management, L.P.	ARES*	BHP Billiton Limited ADS	BHP
Argo Group International Holdings, Ltd.	AGII*	BOK Financial Corporation	BOKF*
Arlington Asset Investment	AI	BP p.l.c. ADS	BP
Armada Hoffer	AHH	BSB Bancorp Inc	BLMT
Armour Residential REIT, Inc.	ARR	Baldwin & Lyons, Inc.	BWINB
Arrow Financial Corporation	AROW	BanColombia SA ADS	CIB
Arthur J. Gallagher & Co.	AJG*	Banc of California, Inc.	BANC*
Artisan Partners Asset Management Inc.	APAM*	Banc of California, Inc. Depository Shares, each representin	BANC-C
Ashford Hospitality Prime, Inc. Common Stock	AHP	BancFirst Corporation	BANF*
Ashford Hospitality TR, Inc.	AHT	Banco Bilbao Vizcaya Argentaria	BBVA
Aspen Insurance Holdings Limited	AHL	Banco Bradesco S A NEW	BBD
Associated Banc-Corp	ASB*	Banco Latinoamericano de Comercio Exterior, S.A. Class E Com	BLX
Assurant, Inc	AIZ	Banco Santander (Mexico), S.A. Institucion de Banca Multiple	BSMX
Assured Guaranty Ltd.	AGO*	Banco Santander ADS	SAN
Asta Funding, Inc.	ASFI	Banco Santander Brasil S A	BSBR
Athene Holding Ltd.	ATH*	Banco Santander-Chile ADS	BSAC
Atlantic Capital Bancshares, Inc.	ACBI*	Bancorp 34, Inc.	BCTF
Atlantic Coast Bank	ACFC	Bancorp of New Jersey Inc. Common Stock	BKJ
Atlanticus Holding Corporation	ATLC	BancorpSouth Bank	BXS*
Atlas Financial Holdings, Inc.	AFH	Bank of America Corp.	BAC*
Auburn National Bancorporation	AUBN	Bank of America Corporation Warrants (expiring January 16, 2	BAC+A



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COMPANY	SYMBOL	COMPANY	SYMBOL
Bank of America Corporation Warrants (expiring October 28, 2	BAC+B	BlackRock Capital Investment Corporation	BKCC
Bank of Hawaii Corp.	BOH*	BlackRock Inc	BLK*
Bank of Marin Bancorp	BMRC*	Blackhawk Network Holdings, Inc.	HAWK
Bank of Montreal	BMO	Blue Capital Reinsurance Holdings, Ltd.	BCRH*
Bank of N.T. Butterfield & Son Ltd.	NTB*	Blue Hills Bancorp, Inc.	BHBK*
Bank of Nova Scotia	BNS	Bofl Holding, Inc.	BOFI*
Bank of South Carolina Corp.	BKSC	Boston Private Financial Holdings, Inc.	BPFHP
Bank of the James Financial Group, Inc.	BOTJ	Boston Private Financial Holdings, Inc.	BPFH*
Bank of the Ozarks	OZRK*	Boston Properties	BXP
BankAtlantic Bancorp, Class A	BBX	Brandywine Realty Trust	BDN
BankUnited, Inc.	BKU*	Bridge Bancorp, Inc	BDGE*
Bankfinancial	BFIN	Bridgewater Bancshares, Inc.	BWB
Bankwell Financial Group, Inc.	BWFG*	BrightHouse Financial, Inc.	BHF*
Banner Corporation	BANR*	Brixmor Property Group	BRX
Bar Harbor Bankshares	BHB	Broadcom Limited	AVGO
Barclays Capital, Inc	BCS	Broadridge Financial Solutions, Inc.	BR
Barnes & Noble, Inc. Common Stock	BKS	Broadway Financial Corporation	BYFC
Barrick Gold Corporation Common Shares	ABX	Brookdale Senior Living	BKD
Bay Bancorp, Inc.	BYBK	Brookfield Asset Management Inc.	BAM*
Bear State Financial Inc	BSF	Brookfield Property Partners L.P.	BPY
Beazer Homes U S A Inc.	BZH	Brookline Bancorp, Inc.	BRKL*
Belmond Ltd. Class A Common Shares	BEL	Brown & Brown, Inc.	BRO*
Beneficial Bancorp, Inc	BNCL*	Bryn Mawr Bank Corporation	BMTC*
Berkshire Hathaway Inc. Class B Common Stock	BRK.B	Byline Bancorp, Inc.	BY*
Berkshire Hills Bancorp, Inc	BHLB*	C&F Financial Corporation	CFFI
Berry Global Group, Inc. Common Stock	BERY	CAI International Inc.	CAI*
BioCryst Pharmaceuticals, Inc.	BCRX	CB Financial Services, Inc.	CBFV*
BioTelemetry, Inc.	BEAT	CBL & Associates Properties	CBL
Black Knight, Inc.	BKI*	CBRE Group Inc.	CBRE*
BlackBerry Limited Common Shares, without par value	BB	CBS Corporation Class B Common Stock	CBS



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COMPANY	SYMBOL	COMPANY	SYMBOL
CBTX, Inc.	CBTX*	Carolina Financial Corporation	CARO*
CIGNA	CI	Carolina Trust Bank	CART
CIT Group Inc.	CIT*	Carver Bancorp Inc	CARV
CM Finance Inc.	CMFN*	Cathay General Bancorp	CATY*
CME Group, Inc.	CME*	Cboe Global Markets, Inc.	CBOE*
CNA Financial Corporation	CNA*	Cedar Shopping Centers, Inc	CDR
CNB Financial Corp.	CCNE	Cemex, S.A.B. de C.V. ADS	CX
CNO Financial Group, Inc.	CNO*	Cenovus Energy Inc. Common Shares	CVE
CVB Financial Corp	CVBF*	CenterState Bank Corporation	CSFL*
CVS Health Corporation Common Stock	CVS	Central Federal Corporation	CFBK
CYS Investments Inc	CYS*	Central Pacific Financial Corp.	CPF*
Cadence Bancorporation	CADE*	Central Valley Community Bancorp	CVCY*
Caesars Entertainment Corporation	CZR	Century Bancorp, Inc.	CNBKA
Callidus Software, Inc.	CALD	Century Communities, Inc.	CCS
Cambridge Bancorp	CATC	CenturyLink	CTL
Camden National Corp	CAC*	Charter Financial Corporation	CHFN*
Camden Property Trust	CPT	Chatham Lodging Trust	CLDT
Canadian Imperial Bank of Commerce	CM	Chemical Financial Corp	CHFC*
Canadian Pacific Railway Limited Common Shares	CP	Chemung Financial Corporation	CHMG
CapStar Financial Holdings, Inc.	CSTR*	Cherry Hill Mortgage Investment Corporation	CHMI
Capella Education Company	CPLA	Chesapeake Lodging Trust	CHSP
Capital City Bank Group, Inc.	CCBG*	Chicago Bridge & Iron Company N.V. Common Shares	CBI
Capital One Financial Corp	COF*	Chimera Investment Corp.	CIM*
Capital One Financial Corporation Warrants (expiring Novembe	COF+	China Life Insurance ADS	LFC
Capital Southwest	CSWC	China Mobile Limited ADS	CHL
Capitala Finance Corp.	CPTA*	Christopher & Banks Corporation Common Stock	CBK
Capitol Federal Financial, Inc.	CFFN*	Chubb Limited	CB*
Capstead Mortgage Corp.	CMO*	Cincinnati Bell Inc. Common Shares	CBB
Capstead Mortgage Corporation 7.50% Series E Cumulative Rede	CMO-E	Cincinnati Financial Corp	CINF*
Cardtronics, Inc.	CATM	Cisco Systems, Inc.	CSCO



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COMPANY	SYMBOL	COMPANY	SYMBOL
Citigroup Inc.	C*	Community Trust Bancorp, Inc.	CTBI
Citigroup Inc. Depositary Shs, a 1/1,000th Interest in a Sha	C-K	Community West Bancshares	CWBC
Citigroup Inc. Depositary Shs, a 1/1000th Interest in a Shar	C-J	Compass Diversified Holdings	CODI
Citigroup Inc. Warrants (expiring January 4, 2019)	C+A	Conn's, Inc.	CONN
Citizens & Northern Corporation	CZNC	ConnectOne Bancorp Inc	CNOB*
Citizens Community Bancorp	CZWI	Connecticut Water Service, Inc.	CTWS
Citizens Financial Group Inc.	CFG*	Consolidated Communications Holdings, Inc.	CNSL
Citizens First Corp.	CZFC	Consumer Portfolio Services, Inc.	CPSS
Citizens Holding Company	CIZN	CoreCivic, Inc. Common Stock	CXW
City Holding Company	CHCO*	CoreLogic, Inc.	CLGX*
City Office REIT, Inc. Common Stock, \$0.01 par value per sha	CIO	CoreSite	COR
Civista Bancshares, Inc.	CIVBP	Corporate Office Properties Trust	OFC
Civista Bancshares, Inc.	CIVB*	County Bancorp, Inc.	ICBK
Clifton Savings Bancorp	CSBK	Cousins Properties Inc	CUZ
CoBiz Financial Inc.	COBZ*	Cowen Group, Inc.	COWN
Coastway Bancorp, Inc.	CWAY	Crawford & Company Class B Common Stock	CRD.B
Codorus Valley Bancorp, Inc.	CVLY	Credit Acceptance Corporation	CACC
Cohen & Steers Inc	CNS*	Credit Suisse Group	CS
Colgate-Palmolive Company Common Stock	CL	CubeSmart	CUBE
Colony Bankcorp, Inc.	CBAN	Cullen/Frost Bankers Inc	CFR*
Colony NorthStar Credit Real Estate, Inc. Class A Common Sto	CLNC	Customers Bancorp, Inc.	CUBI*
Colony NorthStar, Inc.	CLNS*	CyrusOne	CONE
Columbia Banking System, Inc.	COLB*	D.R. Horton, Inc.	DHI*
Comerica Inc	CMA*	DCT Industrial Trust	DCT
Comerica Incorporated Warrants (expiring November 14, 2018)	CMA+	DDR Corp.	DDR
Commerce Bancshares Inc	CBSH*	DNB Financial Corp	DNBF
Community Bank System, Inc	CBU*	DST Systems Inc.	DST
Community Bankers Trust Corporation	ESXB*	Delek US Holdings, Inc. Common Stock	DK
Community First Bancshares, Inc.	CFBI	Deutsche Bank Securities	DB
Community Healthcare Trust Incorporated Common Stock, \$0.01	CHCT	DiamondRock Hospitality Co.	DRH



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COMPANY	SYMBOL	COMPANY	SYMBOL
Digi International Inc.	DGII	Elevate Credit Inc.	ELVT
Digital Realty Trust	DLR	Ellie Mae	ELLI
Dime Community Bancshares, Inc.	DCOM*	Ellington Financial LLC	EFC*
Discover Financial Services	DFS*	Ellington Residential Mortgage REIT	EARN
Discovery Communications, Inc.	DISCA	Empire State Realty Trust, Inc. Class A Common Stock, \$0.01	ESRT
Donegal Group Inc.	DGICA*	Employers Holdings, Inc.	EIG
Douglas Emmett, Inc	DEI	EnCana Corporation Common Shares	ECA
Dr Pepper Snapple Group, Inc. Common Stock	DPS	Encore Capital Group Inc	ECPG*
Drive Shack Inc. Common Stock	DS	Ensco plc Class A Ordinary Share	ESV
Duke Realty Corp.	DRE	Enstar Group Limited	ESGR
Dynegy Inc. Common Stock	DYN	Entegra Financial Corp.	ENFC
Dynex Capital, Inc. 7.625% Series B Cumulative Redeemable Pr	DX-B	Enterprise Bancorp Inc.	EBTC
E*TRADE Financial Corporation	ETFC*	Enterprise Financial Services Corp.	EFSC*
EMC Insurance Group Inc.	EMCI*	Equifax	EFX
ENI S.p.A. ADS	E	Equitable Financial Corp	EQFN
EPR Properties	EPR	Equity Bancshares, Inc.	EQBK*
EQT Corporation	EQT	Equity Commonwealth Common Shares of Beneficial Interest	EQC
ESSA Bancorp Inc	ESSA	Equity LifeStyle Properties, Inc.	ELS
Eagle Bancorp Montana, Inc.	EBMT	Equity Residential Properties Trust	EQR
Eagle Bancorp, Inc (MD)	EGBN*	Erie Indemnity Company	ERIE
Eagle Financial Bancorp, Inc.	EFBI	Essent Group Ltd.	ESNT*
Eagle Point Credit Company Inc.	ECC*	Essex Property Trust Inc	ESS
East West Bancorp Inc	EWBC*	Euronet Worldwide, Inc.	EEFT
Easterly Government Properties, Inc. Common Stock	DEA	Evans Bancorp Inc New	EVBN
Eastgroup Properties	EGP	Evercore Partners Inc	EVR*
Eaton Corporation, plc Ordinary Shares, par value \$0.01 per	ETN	Everest Re Group, Ltd.	RE*
Eaton Vance Corp.	EV*	Evertec, Inc.	EVTC
Ebix, Inc.	EBIX	Extended Stay America, Inc. (ESH)	STAY
Education Realty Trust, Inc	EDR	Extra Space Storage Inc.	EXR
Eldorado Resorts, Inc.	ERI	F.N.B. Corporation	FNB*



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COMPANY	SYMBOL	COMPANY	SYMBOL
FB Financial	FBK*	First BanCorp	FBP*
FBL Financial Group, Inc.	FFG	First BanCorp	FBNC*
FCB Financial Holdings, Inc.	FCB*	First Bancorp, Inc.	FNLC
FFBW, Inc.	FFBW	First Bank	FRBA
FIAT CHRYSLER AUTOMOBILES N V SHS	FCAU	First Busey Corporation	BUSE*
FLY Leasing Ltd	FLY	First Business Financial Services, Inc	FBIZ*
FNB Bancorp	FNBG	First Capital, Inc.	FCAP
FS Bancorp	FSBW	First Cash Financial Services, Inc.	FCFS
FS Investment Corporation	FSIC*	First Citizens BancShares, Inc.	FCNCA
FSB Bancorp, Inc.	FSBC	First Commonwealth Financial Corporation	FCF*
Factset Research Systems, Inc.	FDS	First Community Bancshares, Inc	FCBC
Fair Isaac	FICO	First Community Corporation	FCCO
Fanhua Inc.	FANH	First Connecticut Bancorp Inc.	FBNK*
Farmer Mac (Federal Agricultural Mtg Corp)	AGM*	First Data Corp.	FDC*
Farmers & Merchants Bancorp, Inc.	FMAO	First Defiance Financial Corp	FDEF*
Farmers Capital Bank Corp	FFKT*	First Financial Bancorp	FFBC*
Farmers National Banc Corp.	FMNB*	First Financial Bancorp.	FFBCW
Farmland Partners	FPI	First Financial Bankshares, Inc.	FFIN*
Fauquier Bankshares, Inc.	FBSS	First Financial Corp.	THFF*
Federal Realty Investment Trust	FRT	First Financial Northwest, Inc.	FFNW*
Federated Investors, Inc	FII*	First Foundation Inc.	FFWM
Federated National Holding Company	FNHC*	First Hawaiian, Inc.	FHB*
Fidelity National Financial, Inc	FNF*	First Horizon National Corp	FHN*
Fidelity National Information Services, Inc.	FIS	First Industrial Realty Trust Inc	FR
Fidelity Southern Corporation	LION*	First Internet Bancorp	INBK*
Fidus Investment Corporation	FDUS*	First Interstate BancSystem, Inc.	FIBK*
Fifth Third Bancorp	FITB*	First Merchants Corporation	FRME*
Financial Engines Inc	FNGN	First Mid-Illinois Bancshares Inc.	FMBH
Financial Institutions, Inc	FISI*	First Midwest Bancorp Inc	FMBI*
First American Financial Corp.	FAF*	First Northwest Bancorp	FNWB



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COMPANY	SYMBOL	COMPANY	SYMBOL
First Republic Bank	FRC*	Getty Realty Corp.	GTY
First Savings Financial Group, Inc	FSFG	Glacier Bancorp, Inc.	GBCI*
First US Bancshares, Inc.	FUSB	Gladstone Capital Corporation	GLAD
First United Corporation	FUNC	Gladstone Companies	GAIN
Firsthand Technology Value Fund, Inc.	SVVC	GlaxoSmithKline plc ADS	GSK
Fiserv Inc	FISV	Glen Burnie Bancorp	GLBZ
Five Oaks Investment Corp.	OAKS	Global Indemnity PLC	GBLI
Five Oaks Investment Corp. Series A Cumulative Preferred Sto	OAKS-A	Global Payments, Inc.	GPN*
Flagstar Bancorp, Inc.	FBC*	Goldcorp Inc. Common Shares	GG
FleetCor Technologies, Inc.	FLT*	Goldman Sacks BDC	GSBD
Flushing Financial Corporation	FFIC*	Golub Capital BDC, Inc.	GBDC*
Forest City Realty Trust, Inc. Class A Common Stock	FCE.A	Government Properties Income Trust	GOV
Franklin Resources, Inc	BEN*	Gramercy Property Trust Inc.	GPT
Franklin Street Properties Corp	FSP	Granite Construction Incorporated Common Stock	GVA
Front Yard Residential Corporation	RESI*	Granite Point Mortgage Trust Inc.	GPMT*
Fulton Financial Corp.	FULT*	Great Ajax Corp. Common stock	AJX
GAIN Capital Holdings, Inc.	GCAP*	Great Elm Capital Corp	GECC
GSV Capital Corp	GSVC	Great Plains Energy Incorporated Common Stock	GXP
Gabelli Asset Management	GBL	Great Southern Bancorp, Inc.	GSBC*
Gaming and Leisure Properties, Inc.	GLPI	Great Western Bancorp, Inc.	GWB*
Garrison Capital Inc.	GARS	Green Bancorp, Inc.	GNBC*
Gartner, Inc. Common Stock \$.0005 Par Value	IT	Green Dot Corporation	GDOT*
Gener8 Maritime, Inc. Common Shares	GNRT	Greenhill & Co., Inc.	GHL*
General Cable Corporation Common Stock	BGC	Greenlight Capital RE, Ltd.	GLRE
General Electric Capital Corporation 4.70% Notes due May 16,	GEK	Grifols, S.A.	GRFS
General Electric Co	GE	Guaranty Bancorp	GBNK*
General Growth Properties Inc	GGP	Guaranty Bancshares, Inc.	GNTY
Genesis Healthcare, Inc. Class A Common Stock	GEN	Guaranty Federal Bancshares	GFED
Genworth	GNW*	H&R Block	HRB
German American Bancorp, Inc.	GABC*	HCI Group, Inc.	HCI*





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COMPANY	SYMBOL	COMPANY	SYMBOL
HCP, Inc.	HCP	Home Bancorp, Inc	HBCP
HDFC Bank Ltd. ADS	HDB	Home Federal Bancorp, Inc. of Louisiana	HFBL
HFF, Inc.	HF*	HomeStreet, Inc.	HMST*
HMN Financial Inc	HMNF	HomeTrust Bancshares, Inc.	HTBI*
HSBC Holdings PLC	HSBC	HopFed Bancorp, Inc.	HFBC
HV Bancorp, Inc.	HVBC	Hope Bancorp, Inc.	HOPE*
Hallmark Financial Services, Inc.	HALL	Horace Mann Educators Corporation	HMN*
Hamilton Bancorp, Inc.	HBK	Horizon Bancorp	HBNC*
Hamilton Lane Advisors LLC	HLNE*	Horizon Pharma, Inc.	HZNP
Hancock Holding Co	HBHC*	Horizon Technology Finance Corporation	HRZN*
Hanmi Financial Corp	HAFC*	Hospitality Properties Trust	HPT
HarborOne Bancorp, Inc.	HONE	Host Hotels & Resorts Corporation	HST
Harvest Capital Credit Corporation	HCAP*	Houlihan Lokey, Inc.	HLI*
Harvest Capital Credit Corporation	HCAPZ	Hovnanian Enterprises Inc.	HOV
Hawaiian Telcom Holdco, Inc.	HCOM	Hudson Pacific Properties, Inc.	HPP
Hawthorn Bancshares, Inc.	HWBK	Humana Inc	HUM
Healthcare Realty Trust Inc	HR	Huntington Bancshares Inc	HBAN*
Heartland Financial USA, Inc.	HTLF*	Huntsman Corporation Common Stock	HUN
Hecla Mining Company Common Stock	HL	Hyatt Corporation	H
Hercules Capital, Inc.	HTGC*	ICC Holdings, Inc.	ICCH
Hercules Capital, Inc. 6.25% Notes due 2024	HTGX	IF Bancorp, Inc.	IROQ
Heritage Commerce Corp	HTBK*	IHS Markit	INFO
Heritage Financial Corp	HFWA*	ING Groep NV	ING
Heritage Insurance Holdings, Inc.	HRTG*	INTL FCStone Inc.	INTL
Hersha Hospitality Trust	HT	IQVIA Holdings Inc. Common Stock	IQV
Highwoods Properties Inc.	HIW	Iberiabank Corporation	IBKC*
Hilltop Holdings Inc.	HTH*	Icici Bank	IBN
Hilton Worldwide Holdings Inc. Common Stock	HLT	Idera Pharmaceuticals, Inc.	IDRA
Hingham Institution for Savings	HIFS	Independent Bank Corp	INDB*
Home BancShares, Inc.	HOMB*	Independent Bank Corporation	IBCP*



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COMPANY	SYMBOL	COMPANY	SYMBOL
Independent Bank Group, Inc.	IBTX*	KB Home	KBH*
Infinity Property and Casualty Corp.	IPCC*	KCAP Financial, Inc.	KCAP*
InfraREIT, Inc. Common Stock	HIFR	KCAP Financial, Inc.	KCAPL
Innovative Industrial Properties, Inc. Common Stock	IIPR	KKR & Co. L.P.	KKR*
Insight Enterprises, Inc.	NSIT	KKR Real Estate Finance Trust Inc.	KREF*
Intel Corp	INTC	KLA-Tencor Corporation	KLAC
InterXion Holding N.V. Ordinary shares, nominal value	INXN	KapStone Paper and Packaging Corporation Common Stock	KS
Interactive Brokers Group, Inc.	IBKR*	Kearny Financial Corp.	KRNY*
Intercontinental Exchange, Inc.	ICE*	Kemper Corporation	KMPR
International Bancshares Corp.	IBOC	Kennedy Wilson	KW
Invesco Ltd.	IVZ*	Kentucky First Federal Bancorp	KFFB
Invesco Mortgage Capital, Inc	IVR*	KeyCorp	KEY*
Investar Holding Corporation	ISTR	Kilroy Realty Corp	KRC
Investment Technology Group, Inc.	ITG	Kimco Realty	KIM
Investors Bancorp, Inc.	ISBC*	Kindred Healthcare Inc.	KND
Investors Title Company	ITIC	Kingsway Financial Services Inc.	KFS
Invitation Homes Inc.	INVH*	Kinsale Capital Group, Inc.	KNSL
Ishares Dow Jones U.S. Real Estate Etf	IYR	Kite Realty Group Trust	KRG
Ishares Ftse Nareit Mortgage Reits Etf	REM	Klondex Mines Ltd. Common Shares no par value	KLDX
Itau CorpBanca ADS	ITCB	Knight-Swift Transportation Holdings Inc. Common Stock	KNX
Iteris, Inc.	ITI	Kookmin Bank ADS	KB
J. Alexander's Holdings, Inc. Common Stock, par value \$0.001	JAX	LCNB Corp.	LCNB
JMP Group Inc.	JMP*	LPL Financial Holdings Inc.	LPLA*
JPMorgan Chase & Co.	JPM*	LSI Corporation	LSI
JPMorgan Chase & Co. Warrants (expiring October 28, 2018)	JPM+	LTC Properties	LTC
Jack Henry & Associates	JKHY	LaSalle Hotel Properties	LHO
Jacksonville Bancorp, Inc.	JXSB	Ladder Capital Corp.	LADR*
James River Group Holdings, Ltd.	JRVR*	Ladenburg Thalmann Financial Services Inc. Common Stock	LTS
Janus Henderson Group Plc	JHG*	Lake Shore Bancorp, Inc. (MHC)	LSBK
Jones Lang LaSalle Incorporated	JLL*	Lakeland Bancorp, Inc	LBAI*



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Lakeland Financial Corporation	LKFN*	MACOM Technology Solutions Holdings, Inc.	MTSI
Lam Research Corporation	LRCX	MB Financial, Inc	MBFI*
Landmark Bancorp Inc.	LARK	MBIA, Inc.	MBI*
Las Vegas Sands Corp. Common Stock	LVS	MBT Financial Corp.	MBTF
Lattice Semiconductor Corporation	LSCC	MFA Financial, Inc.	MFA*
Layne Christensen Company	LAYN	MGIC Investment Corp.	MTG*
Lazard Ltd.	LAZ*	MGM Growth Properties LLC Class A common shares representing	MGP
LegacyTexas Financial Group, Inc.	LTXB*	MSB Financial Corp (MHC)	MSBF
Legg Mason Inc	LM*	MSCI Inc.	MSCI*
LendingClub Corporation	LC*	MTGE Investment Corp.	MTGE*
LendingTree	TREE	MVB Financial Corp.	MVBF
Lennar Corporation	LEN*	MVC Capital	MVC
Leucadia National Corporation Common Stock	LUK	Macatawa Bank Corporation	MCBC*
Lexington Realty Trust	LXP	Macerich Company	MAC
Liberty Financial Companies	L	Mack-Cali Realty Corporation	CLI
Liberty Global plc	LBTYA	Mackinac Financial Corporation	MFNC
Liberty Global plc	LBTYK	Magyar Bancorp, Inc.	MGYR
Liberty Interactive Corporation	QRTEA	Maiden Holdings North America, Ltd. 7.75% Notes due 2043	MHNC
Liberty Property Trust	LPT	Maiden Holdings, Ltd.	MHLD*
Life Storage, Inc. Common Stock	LSI	Main Street Capital Corporation	MAIN
Lincoln National Corp	LNC*	MainSource Financial Group, Inc.	MSFG*
Littelfuse, Inc.	LFUS	Mallinckrodt public limited company Ordinary Shares, par val	MNK
Live Oak Bancshares, Inc.	LOB*	Malvern Bancorp, Inc.	MLVF*
LivePerson, Inc.	LPSN	Manning & Napier, Inc.	MN
Lloyds TSB Group ADS	LYG	Manulife Financial Corporation	MFC
Lumentum Holdings	LITE	Markel Corp	MKL
Luther Burbank Corporation	LBC*	MarketAxess Holdings, Inc.	MKTX*
M D C Holdings Inc.	MDC	Marlin Business Services Corp.	MRLN*
M&T Bank Corporation	MTB*	Marriott International	MAR
M/I Homes, Inc.	MHO	Marriott Vacations Worldwide Corporation Common Stock par va	VAC



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COMPANY	SYMBOL	COMPANY	SYMBOL
Marsh & McLennan Companies, Inc.	MMC*	Mitsubishi UFJ Financial Group ADS	MTU
Mastercard Inc.	MA*	Mizuho Financial Group ADS	MFG
Matson, Inc	MATX	Moelis & Company	MC*
McDermott International, Inc. Common Stock	MDR	Moneygram International, Inc.	MGI
MedEquities Realty Trust, Inc. Common Stock	MRT	Monmouth Real Estate Investment Corp.	MNR
Medallion Financial Corp.	MFIN	Monroe Capital Corp.	MRCC
Medical Properties Trust, Inc	MPW	Monsanto Company Common Stock	MON
Medley Capital Corporation	MCC	Moody's Corporation	MCO
Medley Capital Corporation 6.125% Senior Notes due 2023	MCV	Morgan Stanley	MS*
Medley Capital Corporation 6.50% Notes due 2021	MCX	Morningstar, Inc.	MORN
Medley Management Inc.	MDLY	Morton's Restaurant Group, Inc. Common Stock	MRT
Medtronic plc Ordinary Shares	MDT	MutualFirst Financial, Inc.	MFSF*
Melco Resorts & Entertainment Limited	MLCO	Mylan N.V.	MYL
Melrose Bancorp, Inc.	MELR	NBT Bancorp Inc	NBTB*
Mercantile Bank Corporation	MBWM*	NCR Corporation Common Stock	NCR
Merchants Bancorp	MBIN	NI Holdings, Inc.	NODK
Mercury General Corporation	MCY	NMI Holdings, Inc.	NMIH*
Meridian Bancorp, Inc.	EBSB*	NOBAO RENEWABLE ENRGY HLDG LTD SPONSORED ADR : NRE	NRE
Meridian Bank	MRBK*	NVR	NVR
Meritage Homes Corporation	MTH*	NXP Semiconductors N.V.	NXPI
MetLife, Inc.	MET*	Nasdaq, Inc.	NDAQ*
Meta Financial Group, Inc.	CASH*	National Bank Holdings Corporation	NBHC*
Metropolitan Bank Holding Corp.	MCB*	National Bankshares, Inc.	NKSH
Mid Penn Bancorp	MPB	National Commerce Corporation	NCOM*
Mid-America Apartment Communities, Inc.	MAA	National General Holdings Corp.	NGHC*
MidSouth Bancorp, Inc	MSL*	National Health Investors, Inc.	NHI
MidWestOne Financial Group, Inc.	MOFG*	National Holdings Corporation	NHLD
Middlefield Banc Corp	MBCN*	National Retail Properties	NNN
Midland States Bancorp, Inc.	MSBI*	National Western Life	NWLI
Mitel Networks Corporation	MITL	Nationstar Mortgage Holdings, Inc.	NSM*



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Navient Corp	NAVI*	OFG Bancorp 7.125% Noncumulative Monthly Income Preferred St	OFG-A
Nelnet, Inc.	NNI	OFS Capital Corporation	OFS
New Home Co. Inc.	NWHM	OHA Investment Corporation	OHAI
New Mountain Finance Corporation	NMFC*	OM Asset Management plc	OMAM*
New Residential Investment Corp.	NRZ*	ONEOK, Inc. Common Stock	OKE
New York Community Bancorp, Inc.	NYCB*	Oaktree Capital Group, LLC	OAK*
New York Mortgage Trust, Inc.	NYMT*	Oaktree Specialty Lending Corporation	OCSLL
New York Mortgage Trust, Inc.	NYMTP	Oaktree Specialty Lending Corporation	OCSL*
New York REIT, Inc. Common Stock	NYRT	Oaktree Strategic Income Corp	OCSI
Newmark Group, Inc.	NMRK*	OceanFirst Financial Corp.	OCFC*
Newmont Mining Corporation Common Stock	NEM	Och-Ziff Capital Management Group LLC	OZM*
Newtek Business Services Corp.	NEWT*	Oclaro	OCLR
Newtek Business Services Corp.	NEWTL	Oconee Federal Financial Corp. (MHC)	OFED
Nexstar Media Group, Inc.	NXST	Ocwen Financial Corporation	OCN*
Nicholas Financial Inc	NICK	Office Depot, Inc.	ODP
Nicolet Bancshares, Inc.	NCBS	Ohio Valley Banc Corp.	OVBC
Nomura Holdings ADS	NMR	Old Line Bancshares, Inc.	OLBK*
Norfolk Southern Corporation Common Stock	NSC	Old National Bancorp	ONB*
NorthStar Realty Europe Corp. Common Stock	NRE	Old Point Financial Corporation	OPOF
Northeast Bancorp	NBN	Old Republic International Corporation	ORI
Northern Trust Corp	NTRS*	Old Second Bancorp, Inc.	OSBC*
Northfield Bancorp, Inc.	NFBK*	Omega Healthcare Investors	OHI
Northrim BanCorp, Inc.	NRIM	On Deck Capital, Inc.	ONDK*
Northwest Bancshares, Inc.	NWBI*	One Liberty Properties Inc.	OLP
Norwood Financial Corp.	NWFL	OneMain Holdings, Inc.	OMF*
Novartis AG ADS	NVS	Oppenheimer Holding Inc.	OPY
Novelion Therapeutics Inc.	NVLN	Opus Bank	OPB*
NuStar Energy L.P. Common Units, representing limited partne	NS	Orbital ATK, Inc. Common Stock	OA
NuStar GP Holdings, LLC Units Representing Limited Liability	NSH	Orbotech Ltd.	ORBK
OFG Bancorp	OFG*	Oritani Financial Corp	ORIT*



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Orrstown Financial Services, Inc.	ORRF*	Penn National Gaming, Inc.	PENN
Ottawa Bancorp, Inc.	OTTW	PennantPark Floating Rate Capital Ltd.	PFLT*
Owens Realty Mortgage Inc. Common stock	ORM	PennantPark Investment Corp	PNNT*
Oxford Lane Capital Corp.	OXLC	Penns Woods Bancorp, Inc.	PWOD
PCSB Financial Corporation	PCSB	Pennsylvania Real Estate Investment Trust	PEI
PDL Community Bancorp	PDLB	PennyMac Financial Services	PFSI*
PHH Corporation	PHH*	PennyMac Mortgage Investment Trust	PMT*
PICO Holdings, Inc.	PICO	People's United Financial, Inc	PBCT*
PJT Partners Inc. Class A Common stock	PJT	People's Utah Bancorp	PUB
POWERSHARES KBW Bank Portfolio (ETF)	KBWB	Peoples Bancorp Inc.	PEBO*
POWERSHARES KBW High Dividend Yield Financial Portfolio (ETF)	KBWD	Peoples Bancorp of N.C. Inc.	PEBK
POWERSHARES KBW Premium Yield Equity REIT Portfolio (ETF)	KBWY	Perry Ellis International Inc.	PERY
POWERSHARES KBW Property & Casualty Insurance Portfolio (ETF)	KBWP	Petroleo Brasileiro S.A.–Petrobras ADS	PBR
POWERSHARES KBW Regional Banking Portfolio (ETF)	KBWR	Piedmont Office Realty Trust, Inc	PDM
PPDAI Group Inc	PPDF*	Pinnacle Entertainment, Inc.	PNK
PRA Group, Inc.	PRAA*	Pinnacle Financial Partners, Inc.	PNFP*
PS Business Parks Inc.	PSB	Piper Jaffray Companies	PJC*
PacWest Bancorp	PACW*	Plumas Bank	PLBC
Pacific Mercantile Bancorp	PMBC*	Ply Gem Holdings, Inc. Common Stock, par value \$0.01 per sha	PGEM
Pacific Premier Bancorp Inc	PPBI*	Poage Bankshares, Inc.	PBSK
Pan American Silver Corp.	PAAS	Popular, Inc.	BPOP*
Paramount Group, Inc. Common Stock	PGRE	Popular, Inc.	BPOPM
Park Hotels & Resorts Inc. Common stock	PK	Porter Bancorp, Inc.	PBIB
Park National Corporation	PRK*	Potlatch Corp	PCH
Parke Bancorp, Inc.	PKBK	Power REIT 7.75% Series A Cumulative Redeemable Perpetual Pr	PW–A
Pathfinder Bancorp, Inc. (MHC)	PBHC	Preferred Bank	PFBC
Patriot National Bank	PNBK	Premier Financial Bancorp, Inc.	PFBI
PayPal Holdings, Inc.	PYPL*	Primerica, Inc.	PRJ*
Peapack–Gladstone Financial Corporation	PGC*	Principal Financial Group, Inc.	PFG*
Pebblebrook Hotel Trust	PEB	ProAssurance Corporation	PRA*



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
ProLogis	PLD	Rayonier Inc.	RYN
Progressive Corporation	PGR*	Realty Holdings Corp	RLGY*
Proshares UltraShort Real Estate	SRS	Realty Income Corp.	O
Prospect Capital Corporation	PSEC	Red Lion Hotels Inc	RLH
Prosperity Bancshares, Inc.	PB*	Redding Bank of Commerce	BOCH
Provident Financial Holdings Inc	PROV	Redfin	RDFN
Provident Financial Services, Inc.	PFS*	Redwood Trust, Inc.	RWT*
Prudential Bancorp, Inc. of Pennsylvania (MHC)	PBIP	Regency Centers Corporation	REG
Prudential Financial, Inc.	PRU*	Regional Management Corp.	RM*
Prudential PLC ADS	PUK	Regions Financial Corporation	RF*
Public Storage (MD)	PSA	Reinsurance Group of America, Inc.	RGA*
Pulte Group, Inc	PHM	Reliant Bancorp Inc.	RBNC*
Putnam Savings Bank	PBBI	RenaissanceRe Holdings Ltd.	RNR*
Pzena Investment Management	PZN	RenaissanceRe Holdings Ltd. 5.375% Series E Preference Share	RNR-E
QCR Holdings, Inc.	QCRH*	Renasant Corporation	RNST*
QIAGEN N.V. Common Shares	QGEN	Republic Bancorp, Inc.	RBCAA
QUALCOMM Incorporated	QCOM	Republic First Bancorp, Inc.	FRBK*
Quality Care Properties, Inc.	QCP	Resource Capital Corp.	RSO
RAIT Financial Trust	RAS	Retail Opportunity Investment Corp.	ROIC
RBB Bancorp	RBB*	Retail Properties of America, Inc. Class A Common Stock	RPAI
RE/MAX Holdings, Inc.	RMAX*	Rexford Industrial	REXR
RELX PLC ADS	RELX	Rio Tinto plc American Depositary Receipts (Each representin	RIO
RLI Corp	RLI*	Rite Aid Corporation Common Stock	RAD
RLJ Lodging Trust	RLJ	Riverview Bancorp, Inc.	RVSB
Radian Group Inc.	RDN*	Rockwell Collins, Inc. Common Stock	COL
Radius Health, Inc.	RDUS	Royal Bank of Canada	RY
Ramco-Gershenson Properties Trust	RPT	Royal Bank of Scotland Group PLC	RBS
Randolph Bancorp, Inc.	RNDB	Ryman Hospitality Properties, Inc. Common Stock	RHP
Raymond James Financial Inc.	RJF*	S&P Global, Inc.	SPGI
Rayonier Advanced Materials Inc. Common Stock, par value \$0.	RYAM	S&T Bancorp Inc	STBA*



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
SAP SE ADS	SAP	Senior Housing Properties Trust	SNH
SB Financial Group, Inc.	SBFG	ServisFirst Bancshares, Inc.	SFBS
SB Financial Group, Inc.	SBFGP	Severn Bancorp, Inc.	SVBI
SEI Investments Company	SEIC*	Shinhan Financial ADS	SHG
SI Financial Group, Inc.	SIFI	Shire plc	SHPG
SJW Group Common Stock	SJW	Shore Bancshares, Inc.	SHBI
SL Green Realty Corporation	SLG	Siebert Financial Corp.	SIEB
SLM Corporation	SLM*	Sierra Bancorp	BSRR*
SPDR Financial Sector ETF	XLF	Signature Bank	SBNY*
SPDR Homebuilders Sector ETF	XHB	Simmons First National Corporation	SFNC*
SPDR S&P Bank ETF	KBE	Simon Property Group	SPG
SPDR S&P Capital Markets ETF	KCE	Sinclair Broadcast Group, Inc.	SBGI
SPDR S&P Insurance ETF	KIE	Snyder's-Lance, Inc.	LNCE
SPDR S&P Regional Banking ETF	KRE	Solar Capital Ltd.	SLRC*
STAG Industrial	STAG	Solar Senior Capital Ltd	SUNS
SVB Financial Group	SIVB*	Sony Corporation ADS	SNE
Sabra Health Care REIT	SBRA	Sound Financial Bancorp, Inc.	SFBC
Safeguard Scientifics, Inc	SFE*	South State Corporation	SSB*
Safety Insurance Group, Inc.	SAFT	Southern First Bancshares, Inc.	SFST
Salisbury Bancorp, Inc.	SAL	Southern Missouri Bancorp	SMBC
Sandy Spring Bancorp, Inc.	SASR*	Southern National Bancorp of Virginia, Inc.	SONA
Santander Consumer USA Holdings Inc.	SC*	Southside Bancshares, Inc.	SBSI*
Saul Centers	BFS	Southwest GA Financial Corp	SGB
SeaWorld Entertainment, Inc. Common Stock, \$0.01 par value	SEAS	Sparton Corporation Common Stock	SPA
Seacoast Banking Corp of Florida	SBCF	Spirit Realty Capital	SRC
Sears Holdings Corporation	SHLD	Sprint Corporation Common Stock	S
Select Bancorp, Inc.	SLCT	Square, Inc.	SQ*
Select Income REIT	SIR	St. Joe Company	JOE
Selective Insurance Group, Inc	SIGI*	Starwood Property Trust, Inc.	STWD*
SemGroup Corporation Class A Common stock, par value \$0.01 p	SEMG	State Auto Financial Corp.	STFC*





## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
State Bank Financial Corporation	STBZ*	TCG BDC, Inc.	CGBD*
State Street Corporation	STT*	TCP Capital Corp.	TCPC*
Stellus Capital Investment Corporation	SCM*	TD Ameritrade Holding Corp.	AMTD*
Sterling Bancorp	STL*	TFS Financial Corporation	TFSL*
Sterling Bancorp, Inc.	SBT	THL Credit, Inc.	TCRD*
Stewardship Financial Corporation	SSFN	THL Credit, Inc. 6.75% Notes due 2022	TCRZ
Stewart Information Services Corporation	STC*	TICC Capital Corp.	TICC
Stock Yards Bancorp, Inc.	SYBT*	TIER REIT, Inc. Common Stock	TIER
StoneCastle Financial Corp.	BANX*	TPG Specialty Lending, Inc.	TSLX
Store Capital Corp.	STOR	TRI Pointe Group, Inc.	TPH
Strayer Education, Inc.	STRA	Taiwan Semiconductor Manufacturing Company Ltd. ADS	TSM
Sumitomo Mitsui Financial Group	SMFG	Tanger Factory Outlet Centers Inc.	SKT
Summit Financial Group, Inc.	SMMF	Taubman Centers	TCO
Summit Hotel Properties, Inc.	INN	Taylor Morrison, Inc.	TMHC
Summit State Bank	SSBI	Terex Corporation Common Stock	TEX
Sun Communities	SUI	Terreno Realty Corp	TRNO
Sun Life Financial Services	SLF	Territorial Bancorp Inc	TBNK*
SunCoke Energy Partners, L.P. Common units representing limi	SXCP	Tesla, Inc.	TSLA
SunCoke Energy, Inc. Common Stock	SXC	Texas Capital Bancshares, Inc.	TCBI*
SunTrust Banks Inc	STI*	Textainer Group Holdings Limited	TGH*
SunTrust Banks, Inc. Warrants (expiring November 14, 2018)	STI+B	The Allstate Corporation	ALL*
Sunstone Hotel Investors	SHO	The Bancorp Bank	TBBK
Sussex Bancorp	SBBX*	The Bank of New York Mellon Corporation	BK*
Sutherland Asset Management Corporation	SLD*	The Bank of Princeton	BPRN
Synchrony Financial	SYF*	The Blackstone Group L.P.	BX*
Synovus Financial Corp	SNV*	The Carlyle Group L.P.	CG*
Synovus Financial Corp. 7.875% Series C Fixed-to-Floating Ra	SNV-C	The Charles Schwab Corporation	SCHW*
T-Mobile US, Inc.	TMUS	The Community Financial Corporation	TCFC*
T. Rowe Price Group Inc	TROW*	The First Bancshares, Inc.	FBMS*
TCF Financial Corporation	TCF*	The First of Long Island Corporation	FLIC*



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
The GEO Group, Inc. Common Stock, par value \$0.01 per share	GEO	Triangle Capital Corporation 6.375% Senior Notes due 2022	TCCA
The Goldman Sachs Group, Inc.	GS*	Tribune Media Company Class A Common Stock	TRCO
The Hanover Insurance Group, Inc.	THG*	TriplePoint Venture Growth BDC Corp.	TPVG
The Hanover Insurance Group, Inc. 6.35% Subordinated Debenture	THGA	TriplePoint Venture Growth BDC Corp. 5.75% Notes due 2022	TPVY
The Hartford Financial Services Group, Inc.	HIG*	Triton International LTD.	TRTN*
The Hartford Financial Services Group, Inc. Warrant Expiring	HIG+	Triumph Bancorp, Inc.	TBK*
The Howard Hughes Corporation Common Stock	HHC	Trustco Bank Corp NY	TRST
The Navigators Group, Inc.	NAVG*	Trustmark Corporation	TRMK*
The PNC Financial Services Group, Inc.	PNC*	Two Harbors Investment Corp.	TWO*
The PNC Financial Services Group, Inc. Warrant (Expiring Dec)	PNC+	Two Rivers Bancorp	TRCB
The Provident Bank	PVBC	U.S. Bancorp	USB*
The Travelers Companies, Inc.	TRV*	U.S. Bancorp Depository Shares each representing a 1/1,000th	USB-O
The Williams Companies, Inc. Common Stock	WMB	UBS AG	UBS
Third Point Reinsurance Ltd	TPRE*	UDR, Inc.	UDR
TiVo Corporation	TIVO	UMB Financial Corp	UMBF*
Timberland Bancorp, Inc.	TSBK	US Itau Unibanco Holding SA	ITUB
Time Warner Inc. Common Stock	TWX	USF&G Corporation	FG
Tiptree Inc.	TIPT	Umpqua Holdings Corporation	UMPQ*
Toll Brothers, Inc.	TOL*	Union Bankshares Corporation	UBSH*
Tompkins Financial Corporation	TMP*	Union Bankshares, Inc.	UNB
Torchmark Corp	TMK*	United Bancshares, Inc.	UBOH
Toronto Dominion Bank	TD	United Bankshares Inc	UBSI*
Total S.A. ADS	TOT	United Community Bancorp	UCBA
Total System Services, Inc.	TSS*	United Community Banks, Inc.	UCBI*
TowneBank	TOWN*	United Community Financial Corp.	UCFC*
TransUnion Corporation	TRU	United Financial Bancorp, Inc.	UBNK*
Transocean Ltd. Registered Shares	RIG	United Fire Group Inc	UFCS
TriCo Bancshares	TCBK*	United Insurance Holdings Corp.	UIHC*
TriState Capital Holdings, Inc.	TSC*	United Security Bancshares	UBFO
Triangle Capital Corporation	TCAP*	UnitedHealth Group Incorporated Common Stock	UNH



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Unity Bank	UNTY	Vistra Energy Corp. Common Stock	VST
Universal Health Realty Income Trust	UHT	Vodafone Group PLC	VOD
Universal Insurance Holdings, Inc.	UVE*	Vornado Realty Trust	VNO
Univest Corporation of Pennsylvania	UVSP*	W.R. Berkley Corp	WRB*
Unum Group	UNM*	WEX, Inc.	WEX*
Urban Edge Properties Common Shares	UE	WMIH Corp.	WMIH
Urstadt Biddle Properties	UBA	WP Carey & Co. LLC	WPC
Urstadt Biddle Properties Inc.	UBP	WP Glimcher, Inc.	WPG
VEREIT, Inc.	VER	WPP plc ADS	WPP
VICI Properties	VICI	WSFS Financial Corp	WSFS*
VOYA Financial, Inc.	VOYA*	WVS Financial Corporation	WVFC
Vail Resorts, Inc.	MTN	Waddell & Reed Financial, Inc.	WDR*
Vale S.A. ADS	VALE	Walker & Dunlop, Inc.	WD*
Valeant Pharmaceuticals International, Inc. Common Stock	VRX	Washington Federal, Inc.	WAFDW
Validus Holdings Ltd.	VR*	Washington Federal, Inc.	WAFD*
Valley National Bancorp	VLV*	Washington Real Estate Investment Trust	WRE
Vanguard REIT ETF	VNQ	Washington Trust Bancorp, Inc.	WASH*
Veeco Instruments Inc.	VECO	Waterstone Financial, Inc.	WSBF
Ventas Inc	VTR	Wausau Paper Corp. Common Stock	WPP
VeriFone Systems, Inc.	PAY	Webster City Federal Bancorp (MHC)	WCFB
Verisk Analytics, Inc.	VRSK*	Webster Financial Corp	WBS*
Veritex Holdings, Inc.	VBTX*	Weingarten Realty Investors	WRI
Verizon Communications Inc. Common Stock	VZ	Wellesley Bancorp, Inc.	WEBK
Viacom Inc.	VIAB	Wells Fargo & Company	WFC*
Victory Capital Holdings, Inc.	VCTR*	Wells Fargo & Company Warrants (Expiring October 28, 2018)	WFC+
Videocon d2h Limited	VDTH	Welltower Inc.	WELL
Village Bank and Trust Financial Corp.	VBFC	WesBanco Inc	WSBC*
Virtu Financial, Inc	VIRT	West Bancorporation, Inc.	WTBA
Virtus Investment Partners	VRTS	WestRock Company Common Stock	WRK
Visa, Inc.	V*	Westamerica Bancorp	WABC*



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Westar Energy, Inc. Common Stock	WR	Zions Bancorporation	ZIONW
Western Alliance Bancorporation	WAL*	Zions Bancorporation	ZIONZ
Western Asset Mortgage Capital Corporation	WMC	Zions Bancorporation Depository Shares, Each Representing 1 /	ZB-H
Western Digital Corporation	WDC	Zions Bancorporation Depository Shs (1 /40th Interest in a Sh	ZB-A
Western New England Bancorp Inc.	WNEB	eBay Inc.	EBAY
Western Union	WU	eHealth, Inc.	EHTH
Westlake Chemical Corporation Common Stock	WLK	iShares Cohen & Steers Realty Majors	ICF
Westwood Holdings Group	WHG	iStar Inc.	STAR*
Weyerhaeuser Company	WY	tronc, Inc.	TRNC
Whitehorse Finance, Inc.	WHF		
Whitestone REIT Common Shares	WSR		
William Lyon Homes	WLH		
Williams Partners L.P. Common Units Representing Limited Par	WPZ		
Willis Lease Finance Corporation	WLFC		
Willis Towers Watson Public Limited Company	WLTW*		
Windstream Corporation	WIN		
Wintrust Financial Corporation	WTFC*		
WisdomTree Investments, Inc.	WETF*		
Woodward, Inc.	WWD		
Woori Finance Holdings Co., Ltd.	WF		
World Acceptance	WRLD		
Worldpay, Inc.	WP*		
Wright Medical Group N.V.	WMGI		
Wyndham Worldwide Corporation	WYN		
XL Group Ltd.	XL*		
Xcerra Corporation	XCRA		
Xenia Hotels & Resorts, Inc. Common Stock	XHR		
Xerox Corporation Common Stock	XRX		
Zillow Group, Inc.	Z		
Zions Bancorporation	ZION*		